

Underwater Noise in the Arctic: A State of Knowledge Report

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Glossary of Terms

Absorption: An object takes in sound energy when sound waves encounter it. Contrast with *reflection* below.

Acoustic masking: Noise that overlaps with the hearing sensitivity of a species, reducing their ability to effectively receive a signal of interest. Example: noise from a passing ship at 200 Hz overlapping with bowhead whale vocalizations at 200 Hz.

Ambient sound: All sound in the ocean that is not the desired signal that a receiver is trying to hear. Anthropogenic sounds do contribute to ambient sound levels. “Ambient noise in the ocean is the sound field against which signals must be detected” (Hildebrand 2009, p. 5). Also known as background noise and ocean ambient noise. Typically measured as power spectral densities in 1 Hz frequency bands, but can also be measured as sound pressure levels in various frequency bands.

Audiogram: A representation of the hearing sensitivity of an animal across a range of frequencies.

Bandwidth: Frequency range (measured in Hz or kHz). Often in context of hearing capabilities (an animal can hear within a specific frequency range), or in context of a measurement of sound, such as sound pressure level.

Barotrauma: Injury caused by a difference in pressure between a gas space inside the body or in contact with the body and the gas/liquid outside the body. Barotrauma in fish occurs in the swim bladder, whereas barotrauma in marine mammals may occur in the ear cavity.

Cavitation: The rapid formation and collapse of bubbles. In reference to noise from shipping, cavitation is caused by a spinning propeller, which rapidly creates small bubbles as it rotates, which then collapse and make noise.

Continuous noise: Noise which remains constant and stable over a given period. Examples of continuous anthropogenic underwater noise include vessel noise and drilling noise (contrast with *impulsive noise* below).

Decibel (dB): A measure of the relative amplitude of acoustic signals, measured on a logarithmic scale. Underwater, the reference level is always 1 μPa (micro Pascal). For comparison, sound measured in air has a reference level of 20 μPa .

Frequency: Physical definition: the rate of oscillation or vibration, measured in hertz (Hz) or kilohertz (kHz). Psychoacoustic definition: the tone or pitch of an acoustic signal.

Impulsive noise: A very short, high-intensity burst of noise, with a very quick start and stop. Examples of impulsive anthropogenic underwater noise include pile driving and seismic airguns.

Power spectral density (PSD): The distribution of power across a range of frequencies, measured at a single Hz. Measured in $\text{dB re } 1 \mu\text{Pa}^2/\text{Hz}$.

Received level: The sound pressure experienced by a receiver (i.e. animal or recording device). Initially measured as a power spectral density across a range of frequencies (in

dB re 1 $\mu\text{Pa}^2/\text{Hz}$), and often summarized into a broadband sound pressure level across some range of frequencies (in dB re 1 μPa).

Reflection: A sound pressure wave bounces off an object/surface.

Refraction: A sound pressure wave bends due to differential speed along the wavefront.

Soniferous: An animal that can actively produce sound.

Source level: The sound pressure of some noise-emitting activity, measured at 1 m distance from the source. Initially measured as a power spectral density across a range of frequencies (in dB re 1 $\mu\text{Pa}^2/\text{Hz}$ at 1 m), and often summarized into a broadband sound pressure level across some range of frequencies (in dB re 1 μPa at 1 m).

Sound pressure level (SPL): The sum of sound pressure within some band of frequencies. Measured in dB re 1 μPa in water.

Sound speed profile: The speed at which sound waves can travel at different depths through the water column and bottom sediment. Also known as sound velocity profile.

Threshold Shift, Permanent (PTS): An animal's hearing sensitivity is permanently decreased by a noisy event.

Threshold Shift, Temporary (TTS): An animal's hearing sensitivity is temporarily decreased by a noisy event.

Glossary of Acronyms

CAFF: Conservation of Arctic Flora and Fauna

dB: decibels

dB_{med}: decibels for a source level measurement, measured using median values

dB_{peak-to-peak}: decibels for a source level measurement, measured using the peak-to-peak method

dB_{rms}: decibels for a source level measurement, measured using root mean squared values

dB_{zero-to-peak}: decibels for a source level measurement, measured using the zero-to-peak method

Hz: Hertz

IMO: International Maritime Organization

IWC: International Whaling Commission

kHz: kiloHertz

NWP: Northwest Passage

NSR: Northern Sea Route

PAME: Protection of the Arctic Marine Environment

PSD: power spectral density

PTS: permanent threshold shift

re: reference

RMS: root mean squared

SOFAR: Sound Fixing and Ranging

SPL: sound pressure level

TTS: temporary threshold shift

μPa: micro Pascal

1. General Introduction

1.1 *Underwater Noise*

Sound is important for many marine animals in the same way that light perception (e.g., vision) is important for humans and many terrestrial species. Marine animals can only see over short distances, whereas they can hear sounds over great distances. Many marine animals rely on sound for communication, predator and prey detection, and some marine animals use sound for echolocation (i.e. odontocete whales). The impact of anthropogenic noise on marine animals has received increasing attention over the past several decades (Southall 2017). Most attention has focused on marine mammals rather than fish and invertebrates. However, to date, despite a significant amount of attention, there are still many questions about how noise impacts marine animals; acute effects (i.e. hearing damage, behavioural response) are better understood than chronic effects. Moreover, we have even less clarity on how noise affects marine animals in the Arctic, one of the last largely acoustically pristine environments on the planet.

Underwater noise from anthropogenic activities is a growing concern globally. In temperate regions, low frequency underwater noise has been increasing by as much as 2.5 to 3 dB re 1 μ Pa per decade since the 1960s (Andrew et al. 2002; McDonald et al. 2006; Chapman and Price 2011), with a slight decrease in recent years, presumably due to better ship design (Chapman and Price 2011). These increasing noise levels can be attributed almost entirely to increased motorized shipping. Seismic airguns are also increasing noise levels, as demonstrated at a site near the equator in the Atlantic Ocean (Haver et al. 2017). Noise from both shipping and seismic airguns can propagate over long distances. Noise from vessels has been detected > 100 km away (Halliday et al. 2017) and noise from seismic airguns can be detected as far as 1300 km away (Thode et al. 2010). These increasing underwater noise levels can impact the ability of marine animals to hear and use sound (Erbe et al. 2016), and can also represent a chronic stressor for individuals (Rolland et al. 2012).

Another pressing concern about underwater noise is how noisy individual anthropogenic activities can be, where they are taking place, and how those noises can impact marine life. Underwater noise can be divided into two broad categories: impulsive noise and continuous noise. Impulsive noise occurs over a very short period of time, with very quick start and stop times; these individual bursts of energy can be repeated over long durations. Examples of impulsive anthropogenic underwater noise include explosions, pile driving, and seismic airguns. Continuous noise lasts for longer periods of time, often with gradual changes in amplitude. Examples of continuous anthropogenic underwater noise include vessel noise and drilling noise. Noise can also have acute or chronic effects. Acute effects can occur over short time periods, in some cases instantaneously, whereas chronic effects occur over a long time period. Intense impulsive noises can cause temporary or permanent hearing damage in marine animals, and both impulsive and continuous noises can cause increased stress levels, behavioural disturbance, and acoustic masking, especially if an animal is exposed over long periods. Posited thresholds for these impacts are species- and context-specific (Southall et al. 2007; Gomez et al. 2016) and better established for well-studied species.

1.2 Underwater Noise in the Arctic

The Arctic is changing rapidly. Summer sea ice extent has been decreasing in recent years (Stroeve et al. 2007), and sea ice is breaking up earlier and forming later every year (Markus et al. 2009). These decreases in sea ice are allowing increased access for anthropogenic activities, especially for vessel traffic (Arctic Council 2009; Ho 2010; Pizzolato et al. 2014, 2016). The two main shipping routes through the Arctic are the Northern Sea Route (NSR) along the north coast of Russia, and the Norwest Passage (NWP) along the northern coast of Canada and Alaska (Arctic Council 2009). Significant vessel traffic also occurs between Europe and Svalbard (Arctic Council 2009). Vessel traffic also occurs outside of conventional shipping routes because of a wide variety of activities including fishing, community re-supply, mining, tourist and pleasure craft traffic, and military exercises. Oil and gas activities occur throughout the Arctic (Reeves et al. 2014), and involve noisy activities such as seismic airguns, drilling, and construction.

The Arctic is home to eleven marine mammal species (Conservation of Arctic Flora and Fauna [CAFF] 2017), seven of which are endemic to the Arctic: ringed (*Pusa hispida*) and bearded seals (*Erignathus barbatus*), walrus (*Odobenus rosmarus*), narwhal (*Monodon monoceros*), bowhead (*Balaena mysticetus*) and beluga whales (*Delphinapterus leucas*), and the polar bear (*Ursus maritimus*). Four additional species are ice-obligate sub-Arctic species: harp seals (*Pagophilus groenlandicus*), hooded seals (*Cystophora cristata*), spotted seals (*Phoca largha*), and ribbon seals (*Histiophoca fasciata*). Many other species of marine mammal also migrate to the Arctic during the ice-free season. Six hundred and thirty three species of marine fish have been reported in the Arctic (CAFF 2017), as well as > 4000 species of marine benthic invertebrates and ~350 species of zooplankton (CAFF 2017). All of these Arctic marine animals have the potential to be impacted by underwater noise.

1.3 Scope

This report reviews the state of knowledge of underwater noise in Arctic regions, including ambient sound levels, underwater noise created by anthropogenic activities, and impacts of underwater noise on marine life, including marine mammals, fish, and invertebrates. This report does not exhaustively review literature from non-Arctic regions, but uses examples from non-Arctic regions for comparison or when no information is available for the Arctic. This report is intended to be used as an overview of the current scientific knowledge on underwater noise in the Arctic, but as noted in the summary subsections and summarized at the end of the review, there are many gaps in this knowledge which must be filled to have a comprehensive understanding of the effects of underwater noise on target species. This review does not consider measures to mitigate underwater noise or assess the efficacy of those measures.

This report is limited by the accessibility of articles and reports. Peer reviewed articles that were accessible through various online academic search engines (e.g., Google Scholar, Web of Science) are included in this review, but other documents, such as reports from industry or government (i.e. grey literature) were often not easily accessible or discoverable unless provided by organizations or governments. Documents or lists of literature were provided by many Protection of the Arctic Marine Environment (PAME) member states and organizations in response to the request for literature, which has made this review more comprehensive. This review is also limited to reports available in English. It is therefore

entirely possible that some pertinent studies were not included. This review did not discriminate against older studies, as long as the science was sound and the results were informative.

In this review, any place north of the Arctic Circle (66°33'30" N) is considered to be in the Arctic, but as in the Arctic Council's Arctic Marine Shipping Assessment (Arctic Council 2009), areas just south of the Arctic Circle, such as Hudson's Bay and the Bering Sea, are also considered if they are important areas for Arctic marine animals.

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2. Arctic Ocean Ambient Sound

2.1 *Sound Propagation in the Arctic*

The propagation characteristics of the water column (i.e. sound speed profile) have important implications for how far different sounds will travel, and therefore influence ambient sound levels and zones of impact around anthropogenic activities. Sound propagation in the Arctic differs from non-polar regions in two ways. First, sea ice affects how sound waves propagate through the water column (Au and Hastings 2008). High frequency sound waves that hit the underside of sea ice tend to attenuate by scattering caused by repeated reflection. Sound waves travelling near the surface of the water column in ice-covered water will therefore not propagate as far as sound waves travelling deeper in the water column or as far as sound waves travelling near the surface in ice-free water. Second, Arctic waters have a very different sound speed profile than in non-polar regions, which is typically caused by a layer of freshwater near the surface (Urick 1983) or by layers with different temperatures (Duda 2017). The shape of this sound speed profile causes sound waves to refract towards the surface, where sound pressure waves refract back down. This refraction up and down creates the Arctic sound channel, where sound waves tend to get trapped within a certain layer of the water column (100 to 300 m) and propagate farther than if they weren't trapped in this channel (Au and Hastings 2008). This means of enhanced sound propagation is different from what has been termed the deep sound channel or Sound Fixing and Ranging (SOFAR) channel. In the SOFAR channel, sounds produced near the point where the sound speed profile changes directions (ca. 1000 m) refract up and down around that point and so travel long distances without striking the surface or ocean floor (Au and Hastings 2008). This point of change in sound speed is very close to the surface in the Arctic, which does not allow an effective SOFAR channel to form (Urick 1983; Au and Hastings 2008). Sound propagates much farther in the SOFAR channel compared to the Arctic sound channel because sound waves in the SOFAR channel only interact with water, whereas sound waves in the Arctic sound channel may also interact with the ice, and therefore attenuate more. However, the Arctic sound channel does allow for farther propagation distances at shallow depths (100 to 300 m) compared to non-polar regions. Frequencies between 15 and 30 Hz travel most efficiently through the Arctic sound channel, and high frequency sounds do not propagate as far as lower frequency sounds (Buck 1968), which is similar for the SOFAR channel (Au and Hastings 2008). Frequencies below 15 Hz are not effectively propagated through the Arctic sound channel. The Arctic sound channel is also predicted to become more efficient for higher frequency sounds in the future as climate change causes increased ocean acidification (Duda et al. 2016; Duda 2017). The pH of the ocean causes increased absorption in frequencies between 400 and 5000 Hz, but a decreasing pH caused by ocean acidification may reduce absorption, and allow sounds within those frequencies to propagate farther, with the greatest increase (nearly 40%) in propagation distance around 900 Hz (Duda 2017).

2.2 *Arctic Ocean Ambient Sound Levels*

There are multiple ways to measure ocean ambient sound levels. Sound data are converted into power spectral densities (PSDs) in 1 Hz bins, and are often summarized using percentiles and root mean squared averages. Sound data are also summarized into broadband sound pressure levels (SPLs) across some frequency bandwidth. However, the specific bandwidth that researchers use can vary greatly between studies. For example, Insley et al. (2017) calculated SPLs within a 50 to 1000 Hz bandwidth, whereas Haver et al. (2017) calculated SPLs within a 15 to 100 Hz bandwidth. Researchers vary these bandwidths based

on the capability of their recording system, the quality of their data, and the specific research question that they are trying to answer. This varying bandwidth makes a comparison of SPLs between studies difficult. In order to accurately compare between larger numbers of studies, it is necessary to compare PSDs rather than SPLs, therefore this review is limited to comparing between studies that presented PSDs.

Multiple studies have collected long-term (i.e. multiple months) underwater acoustic measurements with detailed analyses over a wide frequency range in various regions throughout the Arctic (Figure 1). See Table 1 and Figure 2 for median PSD values at multiple frequencies for these studies. The majority of these studies took place in either the Beaufort Sea (Roth et al. 2012; Kinda et al. 2013, 2015; Simard et al. 2014; Insley et al. 2017; Stafford et al. 2017; Haver et al. 2018) or near Fram Strait in the Greenland Sea or northern Barents Sea (Bourke and Parsons 1993; Klinck et al. 2012; Ahonen et al. 2017; Haver et al. 2017; Ozanich et al. 2017). Some of the studies in the Beaufort Sea were at the intersection of the Beaufort and Chukchi Seas (Roth et al. 2012; Haver et al. 2018) or had comparison sites in the Bering Sea (Stafford et al. 2017). Delarue et al. (2011, 2012, 2013, 2014, 2015) and Frouin-Mouy et al. (2016) also presented basic ambient sound data from a large-scale acoustic monitoring project in the Chukchi Sea. Deployments ranged from extremely shallow (5 m; Simard et al. 2014) to deep (500 m; Haver et al. 2018). Detailed studies on ambient sound were not available for Baffin Bay, the Kara Sea, the Laptev Sea, or the East Siberian Sea. A small number of studies collected ocean ambient sound data in the Canadian Arctic Archipelago (Heard et al. 2013) and central Arctic Ocean (Ozanich et al. 2017).

Across the Arctic, ambient sound levels were generally quite low compared to non-Arctic regions (Table 1, Figure 2). In the eastern Beaufort Sea, median PSD stayed below 70 dB re 1 $\mu\text{Pa}^2/\text{Hz}$ between 10 and 1000 Hz in the winter (ice-covered season) (Kinda et al. 2013; Insley et al. 2017), and below 75 dB re 1 $\mu\text{Pa}^2/\text{Hz}$ in the summer (broken ice and ice-free season) (Insley et al. 2017). Ambient levels in the western Beaufort and Chukchi Sea were slightly higher during the summer, getting as high as 90 dB re 1 $\mu\text{Pa}^2/\text{Hz}$ (Stafford et al. 2017). During the winter, levels were below 85 dB re 1 $\mu\text{Pa}^2/\text{Hz}$ (Roth et al. 2012; Haver et al. 2018). Measurements from the Chukchi Sea Environmental Monitoring Program (Delarue et al. 2011, 2012, 2013, 2014, 2015; Frouin-Mouy et al. 2016) were on par with levels from the eastern Beaufort, with levels below 75 dB re 1 $\mu\text{Pa}^2/\text{Hz}$ in winter and below 85 dB re 1 $\mu\text{Pa}^2/\text{Hz}$ in summer. In the Greenland Sea and Barents Sea, ambient levels were often higher, staying between 80 and 90 dB re 1 $\mu\text{Pa}^2/\text{Hz}$ between 10 and 100 Hz (Bourke and Parsons 1993; Klinck et al. 2012; Haver et al. 2017; Ozanich et al. 2017), or even higher in one study (Ahonen et al. 2017).

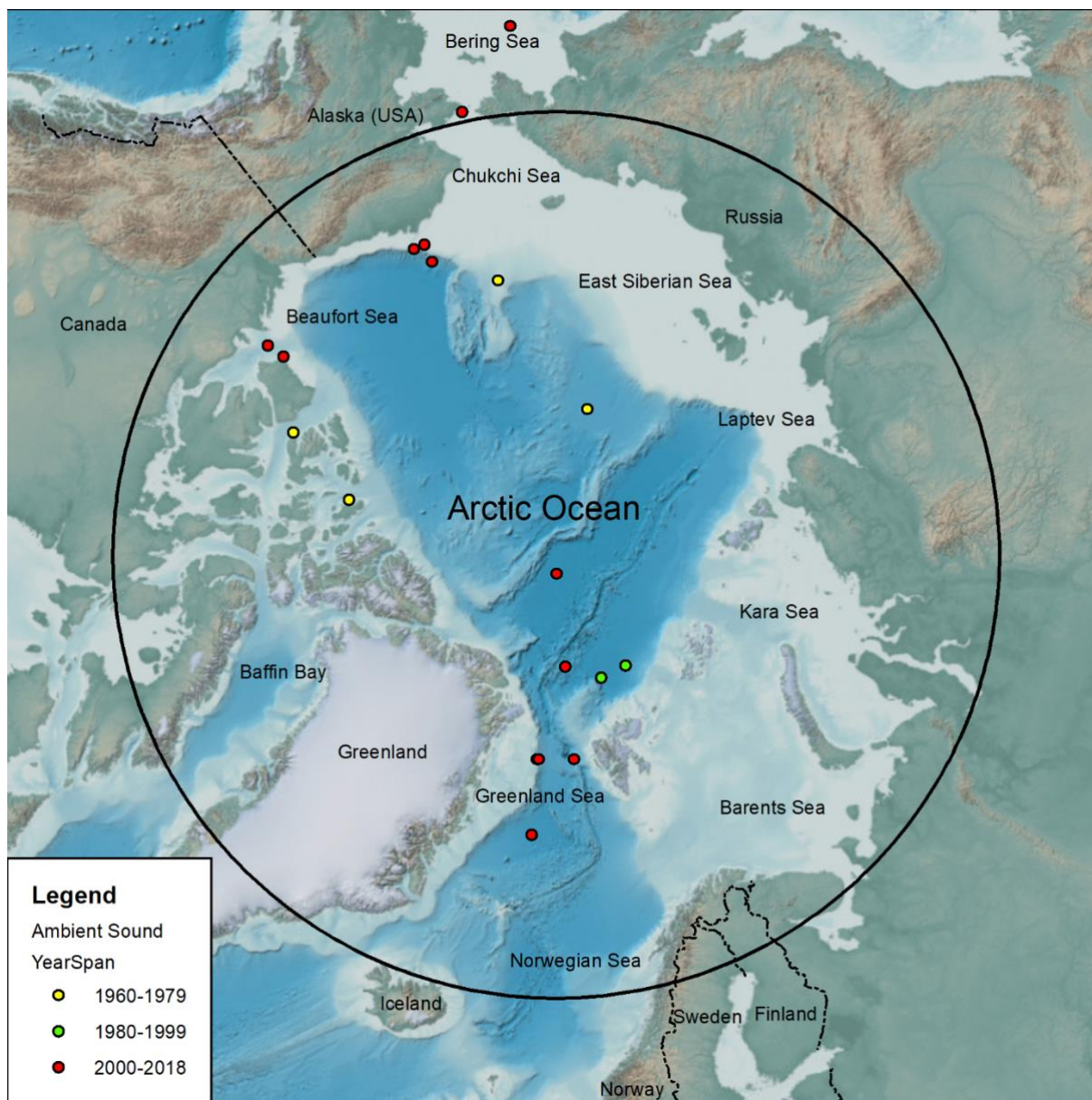


Figure 1. Location of ambient sound level studies (dots) in the Arctic. Symbols are colour-coded by the timeframe of the study, with yellow for 1960-1979, green for 1980-1999, and red for 2000-2018. Basemap credit: National Oceanic and Atmospheric Administration, National Geophysical Data Center, and International Bathymetric Chart of the Arctic Ocean, and General Bathymetric Chart of the Ocean.

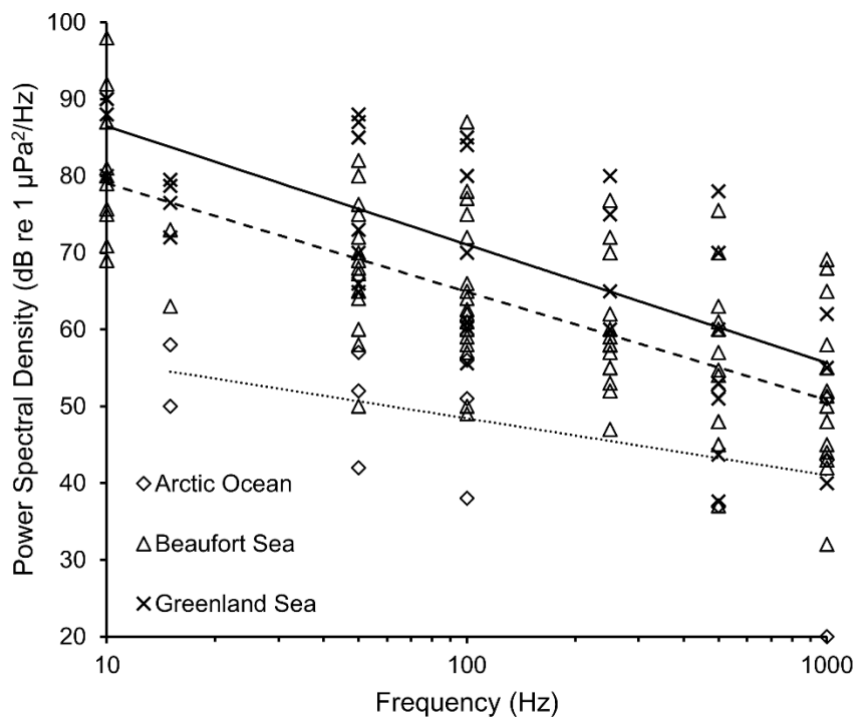


Figure 2. Median power spectral densities reported in different studies of ambient sound level. Lines of best fit represent a logarithmic fit of the data, where the solid line is for the Greenland Sea/Barents Sea, dashed line for the Beaufort Sea/Chukchi Sea, and dotted line for the Arctic Ocean.

Table 1. Review of Arctic ocean ambient sound, summarized as median power spectral densities (PSD) (dB re 1 $\mu\text{Pa}^2/\text{Hz}$) at five different frequencies. Season was defined based on winter (November – April) and summer (May through October). *measured at 15 Hz. Locations displayed in Figure 1, PSD values plotted in Figure 2.

Season	Sea	Duration	10 Hz	50 Hz	100 Hz	500 Hz	1 kHz	Reference
Summer	Beaufort	1 month	69	58	57	45	42	Haver et al. 2018
	Beaufort	6 months		50	50	45	45	Insley et al. 2017
	Beaufort/Chukchi	2 months	87	80	87			Roth et al. 2012
	Beaufort/Chukchi	1 month	80	65	57			Roth et al. 2012
	Beaufort/Chukchi	1 month	98	80	75	60	58	Roth et al. 2012
	Beaufort/Chukchi	1 month	81	65	59	48	48	Roth et al. 2012
	Chukchi	6 years	76	76	77	76	69	Delarue et al. 2011-2015; Frouin-Mouy et al. 2016
	Chukchi	5 months	63*	64	49	37	32	Mellen and Marsh 1965
	Chukchi	5 months		75	72	61	52	Mellen and Marsh 1965
	Greenland	12 months	90	85	80	70		Klinck et al. 2012
	Greenland	2 months	72*	70	61	51	40	Mellen and Marsh 1965
	Greenland	2 months	76.5*	66	60.2	43.7		Ozanich et al. 2017
	Greenland	3 months	78.7*	64.9	55.6	37.6		Ozanich et al. 2017
Winter	Arctic Ocean	1 day		57	56	52	43	Milne and Ganton 1964
	Arctic Ocean	1 day	50*	42	38	37	20	Milne and Ganton 1964
	Arctic Ocean	1 day	58*	52	51	52	51	Milne and Ganton 1964
	Barents	6 months	80	73	70	60	55	Bourke and Parsons 1993
	Beaufort	1 month	73*	68	62	48	43	Buck 1981
	Beaufort	1 month	75	65	58	48	44	Haver et al. 2018
	Beaufort	1 month	79	69	65	54	48	Haver et al. 2018
	Beaufort	6 months		60	60	63	65	Insley et al. 2017
	Beaufort	8 months	69	69	66	57	55	Kinda et al. 2013
	Beaufort	1 month	92	82	78	70	68	Stafford et al. 2017
	Beaufort/Chukchi	6 months	87	72	64			Roth et al. 2012
	Beaufort/Chukchi	1 month	87	70	61	48	50	Roth et al. 2012
	Bering	3 months	78	75	73	76	68	Stafford et al. 2017
	Bering	3 months	107	95	85	74	68	Stafford et al. 2017
	Chukchi	6 years	71	67	63	55	51	Delarue et al. 2011-2015; Frouin-Mouy et al. 2016
	Greenland	1 month	90*	80	73	60	53	Makris and Dyer 1991
Full Year	Greenland	48 months	90	85	80	70	62	Ahonen et al. 2017
	Greenland	17 months	90	87	85			Haver et al. 2017
	Greenland	12 months	88	88	84	78		Klinck et al. 2012

Table 2. Contributors to ocean ambient sound levels, grouped into geophony (sounds from physical processes), biophony (biological sounds), and anthrophony (anthropogenic sounds). Locations displayed in Figure 1.

Season	Sea	Duration	Geophony	Biophony	Anthrophony	Reference
Summer	Beaufort	6 months	Ice, Wind			Insley et al. 2017
	Beaufort/Chukchi	1 month	Wind		Seismic airguns	Roth et al. 2012
	Beaufort/Chukchi	1 month	Ice, Wind			Roth et al. 2012
	Chukchi	6 years	Wind		Seismic airguns, vessel traffic	Delarue et al. 2011-2015, Frouin-Mouy et al. 2016
	Greenland	12 months	Wind	Blue, fin, and sperm whales	Seismic airguns, vessel traffic	Klinck et al. 2012
	Greenland	2 months	Ice, Wind, Earthquakes	Bowhead whales	Seismic airguns	Ozanich et al. 2017
Winter	Barents	6 months	Ice, Wind			Bourke and Parsons 1993
	Beaufort	6 months	Ice, Wind			Insley et al. 2017
	Beaufort	8 months	Ice, Wind			Kinda et al. 2013
	Beaufort	1 month	Wind	Bowhead and beluga whales, bearded seals	Vessel noise	Stafford et al. 2017
	Beaufort/Chukchi	1 month	Ice, Wind			Roth et al. 2012
	Bering	3 months	Ice	Bowhead whales, bearded seals, walrus		Stafford et al. 2017
	Bering	3 months	Ice, Wind	Bowhead and beluga whales, bearded seals, walrus		Stafford et al. 2017
	Chukchi	6 years	Ice			Delarue et al. 2011-2015, Frouin-Mouy et al. 2016
Full Year	Greenland	48 months	Ice	Bowhead whale	Seismic airguns	Ahonen et al. 2017
	Greenland	17 months		Blue and fin whale	Seismic airguns	Haver et al. 2017
	Greenland	12 months	Wind	Blue, fin, and sperm whale	Seismic airguns, vessel traffic	Klinck et al. 2012

2.3 Drivers of Sound Levels - Environmental Forcing

Two important environmental variables have large influences on Arctic ambient sound levels: wind speed and ice concentration (Table 2). As in non-Arctic regions, increased wind speed generally leads to increased ambient sound levels due to the sound created by breaking waves (Roth et al. 2012; Klinck et al. 2012; Kinda et al. 2013; Insley et al. 2017; Ozanich et al. 2017; Stafford et al. 2017; Williams et al. 2018). Sea ice has two main effects. First, it creates noise when cracking, forming, or under thermal stress (Kinda et al. 2015; Williams et al. 2018). Second, it dampens the impact of wind, where increased wind speed has a lower effect when ice concentration is high (Roth et al. 2012; Insley et al. 2017). In one set of comparisons, researchers found that ambient sound levels were highest at an ice edge, lowest under solid ice, and intermediate in open water with no ice (Diachok and Winokur 1974). This suggests that ambient sound at the ice edge can be very high, but is generally very low under solid ice. Ambient levels can be so low under solid ice that they are below the recording capability of acoustic dataloggers (Kinda et al. 2013; Insley et al. 2017).

2.4 Drivers of Sound Levels – Animal Sounds

Marine animals actively produce sounds for a variety of reasons, including for foraging, navigation, communication, and reproduction. The frequency of these vocalizations varies by species and purpose. Large baleen whales (mysticetes) produce very low frequency sounds, typically below 1,000 Hz, and below 50 Hz for the two largest whales (blue whales, *Balaenoptera musculus*, and fin whales, *Balaenoptera physalus*). Bowhead whales (*Balaena mysticetus*), the only Arctic-endemic mysticete, produce vocalizations between 50 and 1000 Hz in the summer (Tervo et al. 2009; Halliday et al. in press), but produce higher frequency vocalizations over 2000 Hz in the winter when they sing (Tervo et al. 2009; Stafford et al. 2018). Beluga (*Delphinapterus leucas*) and narwhal (*Monodon monoceros*), the only Arctic-endemic odontocetes, produce vocalizations between 400 and 15,000 Hz (Chmelnitsky and Ferguson 2012; Marcoux et al. 2012) and echolocation clicks between 10 and 120 kHz (Watkins et al. 1971; Au et al. 1985; Møhl et al. 1990). Seals produce sounds between 100 and 10,000 Hz, but this range is very species-specific. For example, bearded seals (*Erignathus barbatus*) produce sounds in this full range, whereas ringed seals (*Pusa hispida*) typically produce lower frequency sounds below 1,000 Hz (e.g., Stirling et al. 1983; Jones et al. 2014).

Other marine animals also make sounds. Fish are known to produce sounds, although only one Arctic-endemic fish has been confirmed to make sounds: the Arctic cod (*Boreogadus saida*; Riera et al. 2018). Arctic cod grunts are fairly low frequency (100 to 200 Hz). Many fish and invertebrates in non-Arctic waters are soniferous, therefore it is likely that other Arctic marine fish and invertebrates make sounds and may influence ambient sound levels.

Arctic marine mammals can make a large contribution to ambient sound levels (Table 2). Marine animals typically make the most sound during mating season, when they are actively trying to attract mates or repel competitors using vocalizations. Bearded seals and bowhead whales have both been identified as having large impacts on ambient sound levels during their breeding seasons (Ahonen et al. 2017; Ozanich et al. 2017; Stafford et al. 2017). Walrus and beluga whales can also impact Arctic soundscapes (Stafford et al. 2017). Fin

whales, historically a non-Arctic species, have also been recorded making a consistent impact on sound levels in Fram Strait (Ahonen et al. 2017; Haver et al. 2017). In the Atlantic Arctic, especially east of Greenland, blue whales and sperm whales contribute to increasing ambient sound levels (Klinck et al. 2012; Haver et al. 2017). Although other marine mammals are present in the Arctic, their vocalizations may be too quiet or sporadic to significantly raise ambient sound levels.

2.5 Drivers of Sound Levels – Anthropogenic Activity

Noise from seismic airguns (Klinck et al. 2012; Roth et al. 2012; Geyer et al. 2016; Ahonen et al. 2017; Haver et al. 2017; Ozanich et al. 2017) and vessel traffic (Klinck et al. 2012; Geyer et al. 2016; Stafford et al. 2017) are the most commonly reported sources of anthropogenic noise in studies of ocean ambient sound in the Arctic (Table 2). While other noisy anthropogenic activities likely occur in the Arctic, such as construction, pile driving, underwater explosions, drilling, dredging, and military sonar, none of these activities were reported in studies of ambient sound levels. Some of these noisy activities have been reported in studies that measured source levels (section 3) or studies examining impacts on marine mammals (section 4). Roth et al. (2012) observed that high levels of seismic airgun activity (at unknown distances from the acoustic recorder) could add 3 to 8 dB to ambient levels between 10 and 250 Hz. Geyer et al. (2016) found that seismic airgun activity was detected from 800 km away and added 2 to 6 dB to ambient levels between 20 and 120 Hz. Geyer et al. (2016) also found that propeller cavitation and ice breaking activity from 100 km away added 10 to 28 dB between 5 and 1950 Hz. Klinck et al. (2012) found a strong correlation between the presence of seismic airgun noise and monthly median PSD levels. Perhaps one of the most detailed, large-scale, and long-term assessment of impacts of anthropogenic activities on ambient sound levels in the Arctic was part of the Chukchi Sea Environmental Studies Program. As part of this program, the acoustic environment in the northeastern Chukchi Sea was monitored from 2009-2015 with a very large number of single acoustic recorders and arrays of recorders (Delarue et al. 2011, 2012, 2013, 2014, 2015; Frouin-Mouy et al. 2016). For example, Delarue et al. (2013) examined the noise contributions of seismic surveys and shipping in 2012, and found that shipping had a much larger overall impact on ambient sound levels than did seismic surveys, although during this year, there were relatively few seismic surveys. The presence of shipping noise added a median of 3.5 dB to ambient sound levels between 40 and 315 Hz during this summer, and vessel noise was present 5.1% of the time. Frouin-Mouy et al. (2015) found that there were more ship passages through the northeastern Chukchi Sea in 2015 than in all other years of this research program, but did not assess specific contribution to ambient levels. They did, however, show a strong rise in PSD between 40 and 1,000 Hz, which was almost entirely due to ship noise.

Blackwell et al. (2004) measured broadband sound pressure levels between 10 and 10,000 Hz at a variety of distances between 200 and 7300 m from an active drilling platform that was surrounded by solid sea ice. This study found that drilling caused ambient sound levels to increase to a maximum of 124 dB re 1 μ Pa, whereas various operational activities did not affect ambient sound levels. Noise from the drilling platform was no longer detectable at distances greater than 9.4 km.

The presence of anthropogenic noise in the Arctic is highly seasonal, largely determined by ice conditions. During the open water season, vessel traffic tends to be greatest. Once solid sea ice has formed, it effectively stops most anthropogenic noise, with the notable exception of ice breakers and year-round mechanical operations (e.g. drilling platforms).

2.6 Comparison of Arctic to Non-Arctic Areas

There are four key differences between Arctic and non-Arctic waters regarding drivers of ambient sound levels. First, sound propagates differently in the Arctic due to the Arctic sound channel (see section 2.1), and high amplitude sounds may be detectable from farther away in shallower waters than in non-polar areas. Second, non-Arctic waters typically do not have solid sea ice (except in Antarctic waters). Third, non-Arctic waters have a different suite of soniferous animals, which make different vocalizations and therefore have different spectral properties and seasonal timing. And fourth, non-Arctic waters have higher levels of anthropogenic activities than Arctic waters. All of these drivers generally lead to lower levels of underwater noise in Arctic than in non-Arctic waters. Two recent studies compared ambient levels in Arctic versus non-Arctic sites: Haver et al. (2017) compared ambient levels from the Atlantic Ocean in the Arctic (Fram Strait), Equator, and Antarctic; and Haver et al. (2018) compared ambient levels from sites around the USA, including one site in the Alaskan Arctic.

In Haver et al. (2017), the Equator site was consistently 10 dB higher between 15 and 100 Hz than the Arctic and Antarctic sites across the spectra. Key contributors to ambient levels at the Equator site were increased calling by fin and blue whales throughout the year, as well as signals from seismic airguns. The Arctic and Antarctic sites had seasonal peaks in calling activity from fin and blue whales, but these signals did not occur throughout the year. Seismic airguns could be heard 24 hours per day throughout the entire recording period at the Equator, but could only be heard between April and November in the Arctic, and were only heard during a short period in January in the Antarctic. This article did not include an analysis of noise from vessels, but did note that all three sites were far away from any major shipping lanes.

In Haver et al. (2018), the authors compared acoustic data from five sites in coastal United States waters as part of the National Oceanic and Atmospheric Administration's national underwater noise monitoring program. The Alaskan Arctic was by far the quietest site. The Channel Islands (California) was the next quietest site, but was still at least 5 dB higher than the Alaskan Arctic across the spectra (between 10 and 1000 Hz). The noisiest site was the Gulf of Mexico. The authors did not assess contributors to the soundscape, but based on their PSD plots, the non-Arctic sites had large peaks that resembled those caused by shipping activity. The authors also suggested that Alaska was quieter due to the presence of sea ice.

Many other studies have examined underwater noise levels in non-Arctic regions. Generally, the impacts of environmental variables, such as wind speed, are similar to those in the Arctic in the absence of sea ice: as wind speed increases, noises levels increase (McDonald et al. 2006). Non-Arctic regions also can have strong signals from soniferous animals, such as low frequency blue whales and fin whales (McDonald et al. 2006, Haver et al. 2017) and choruses from fish (Pine et al. 2018). Non-Arctic regions typically have higher

levels of shipping activity (e.g., Erbe et al. 2012), which cause the largest difference between Arctic and non-Arctic regions.

2.7 Future Scenarios

First and foremost, climate change is predicted to cause even more loss of sea ice cover (Zhang and Walsh 2006), which is predicted to make the Arctic more accessible to anthropogenic activities for longer periods of time (e.g., Smith and Stephenson 2013). Sound propagation in the Arctic is also predicted to become more efficient in the future, where changing pH levels near the surface will lead to reduced absorption of higher frequency sounds, which could increase propagation distance by nearly 40% for frequencies around 900 Hz over the next 30-50 years (Duda et al. 2016; Duda 2017). The combination of increased noisy activities and more efficient sound propagation will likely lead to increased ambient sound levels throughout the Arctic.

Summary

Within the Arctic, ambient sound levels are quieter when sea ice is solid, and much higher when ice is forming or breaking up, or in open water under windy conditions. Ambient levels in the Arctic increase when marine mammals vocalize frequently, particularly during the mating season. Anthropogenic activities also increase ambient levels. The most common sources of noise in the Arctic are from seismic airguns and vessel traffic, although noise is also produced by oil and gas extraction activities, such as the construction of platforms and drilling operations. Across the Arctic, levels are lower in the Beaufort and Chukchi Seas, and higher in the Greenland and Barents Seas. Ambient sound levels in the Arctic are generally lower than in non-polar regions, but are similar to levels in the Antarctic. Ambient sound levels will likely increase in the future through a combination of increased noise anthropogenic activity and more efficient sound propagation.

The main knowledge gap related to ambient sound levels in the Arctic is that there are large geographic areas with no available reports on ambient sound levels, specifically in the East Siberian Sea, Kara Sea, Laptev Sea, Baffin Bay, and much of the Canadian Arctic Archipelago and Arctic Ocean. Even areas that have had studies on ambient sound still have large spatial gaps. For example, in the Beaufort Sea, there are two studies at the eastern end of the Canadian Beaufort and a handful of studies at the western end of the Alaskan Beaufort, with a gap of nearly 1000 km between studies (Figure 1). Strategically filling this spatial gap based on overlap with prioritized ecologically sensitive and/or important areas could also lead to more information on the influence of anthropogenic activities on ambient sound levels.

3. Arctic Anthropogenic Noise Sources

3.1 *Source Levels for Vessel Traffic*

Globally, commercial vessel traffic is the most constant and pervasive source of anthropogenic noise in the ocean (Hildebrand 2009). Underwater noise from commercial vessels typically peaks between 1 and 100 Hz, although vessels can cause noise above 10 kHz (Veirs et al. 2016) (Figure 3). Low frequency acoustic energy has been doubling in temperate oceans every decade, and this increase is due to increased noise from shipping (Andrew et al. 2002; McDonald et al. 2006). Beyond shipping traffic, other vessels also create substantial noise. These vessels include recreational boats, typically found close to developed areas, passenger vessels and ferry traffic, tug boats, research vessels, government vessels, and fishing vessels. Any vessel with some form of mechanical power creates large amounts of underwater noise. Most of this noise is attributed to cavitation, but vessels also have other noise sources, including noise from engines, generators, and electronic devices on board.

In this section, we report source levels for anthropogenic activities common in the Arctic. All source levels reported in this review are in the units dB re 1 μ Pa at 1 m, which is the sound pressure level measured or estimated at a distance of 1 m from the noise source. Source levels for continuous noise sources (i.e. vessel noise, drilling noise) are measured using root mean squared averages (denoted as dB_{rms}) or median values (dB_{med}), but source levels for impulsive noise (seismic airguns) are measured through a variety of methods, including zero-to-peak (dB_{zero-to-peak}, peak-to-peak (dB_{peak-to-peak}), and root mean squared averages; the method used is denoted in Table 3. A few non-Arctic studies have compiled large lists of source levels for vessels. For example, Simard et al. (2016) measured the source levels of 255 merchant ships (i.e. cargo and tanker vessels) in the St. Lawrence Seaway following methodology from the American National Standards Institute. These ships had source levels (calculated between 20 and 500 Hz) averaging around 197 dB_{rms} for all vessels, with the average around 196 dB_{rms} for small vessels (100 to 150 m length) and as high as 201 dB_{rms} for large vessels (> 250 m length). Veirs et al. (2016) measured the source levels of 1,582 unique vessels transiting Haro Strait near Vancouver, Canada. These authors measured source levels from all vessel classes, and found that the average source level across all vessel classes was 173 ± 7 dB_{rms} (\pm standard deviation), and ranged from as low as an average of 159 ± 9 dB_{rms} for pleasure craft to as high as an average of 178 ± 4 dB_{rms} for container vessels.

A few Arctic studies have documented noise from vessels (Table 3, Figure 4), but not nearly to the same extent as in non-Arctic areas. For example, at least two studies have measured source levels from ice breakers that were actively breaking ice (Erbe and Farmer 2000; Roth et al. 2013); however, Roth et al. (2013) did not provide broadband source levels, but rather source levels within a few non-sequential octave bands making comparison difficult. Erbe and Farmer (2000) measured high source levels from an ice breaker in the Beaufort Sea, ranging between 189 and 205 dB_{med} between 100 Hz and 20 kHz. Roth et al. (2013) measured the source level of an icebreaker in the Arctic Ocean far north of Alaska, and measured source levels between 190 and 200 dB_{rms} in the octave bands centered on 10, 50, and 100 Hz. One other Arctic study measured the source level of one research vessel in the eastern Beaufort Sea (Halliday et al. 2017), and the source level between 63 Hz and 20 kHz was 176 dB_{rms}.

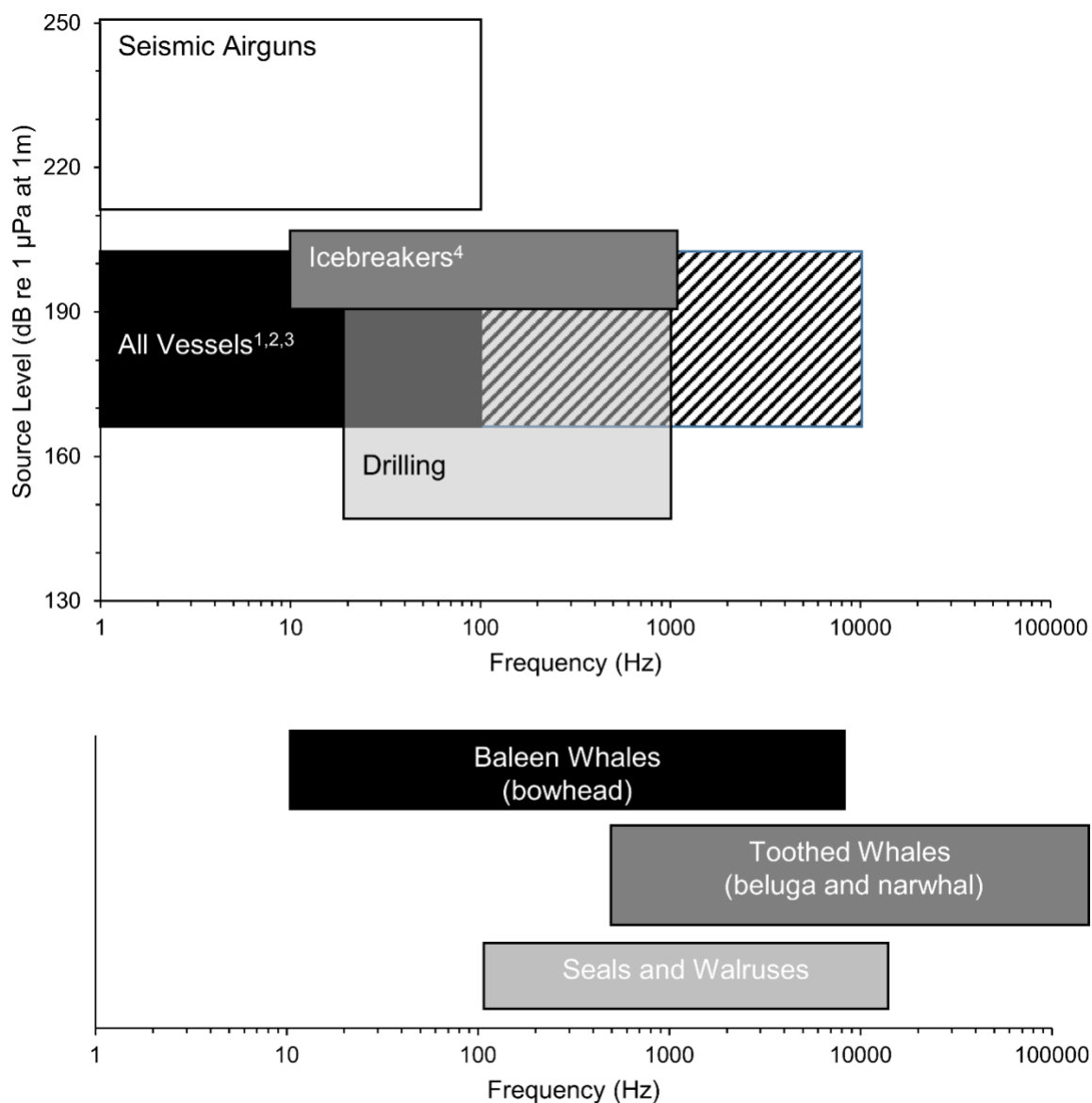


Figure 3. Frequency ranges of biological sounds (biophony) made by baleen whales, toothed whales, and seals and walruses, and frequency ranges and source levels anthropogenic activities (anthrophony). Adapted from Moore et al. 2012, and modified using frequencies and source levels reported in this review. ¹Simard et al. 2016; ²Veirs et al. 2016; ³Halliday et al. 2017; ⁴Erbe and Farmer 2000.

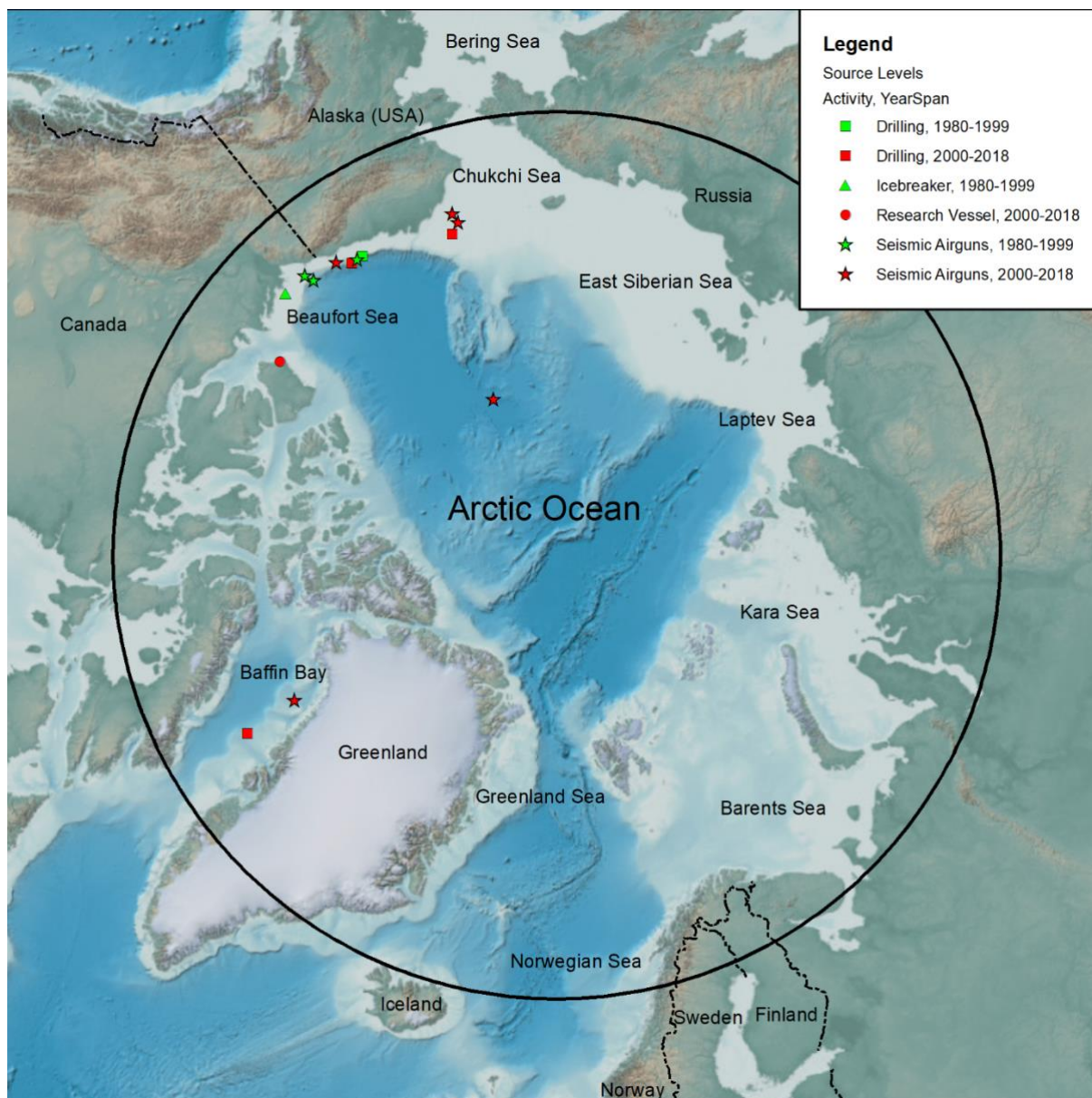


Figure 4. Location of source level measurements for anthropogenic activities in the Arctic. Symbols are colour-coded by the timeframe of the study, green for 1980-1999 and red for 2000-2018. Basemap credit: National Oceanic and Atmospheric Administration, National Geophysical Data Center, and International Bathymetric Chart of the Arctic Ocean, and General Bathymetric Chart of the Ocean.

Table 3. Broadband source levels (dB re 1 μ Pa at 1 m) of sound from anthropogenic activities in the Arctic. Frequency range (kHz) is defined for all studies that reported it. Locations displayed in Figure 4. Method of measurement: ^a = root mean squared, ^b = zero-to-peak, ^c = peak-to-peak, ^d = median, ^e = unknown.

Activity	Specific Class	Comments	Source Level	Frequency Range	Location	Reference	
Oil and Gas	Drilling	Bottom-mounted drill rig	146 ^e	0.02 to 20	Beaufort Sea	Brewer and Hall 1993	
		Anchored drill rig	179 ^e	0.02 to 20			
		Maintenance	190 ^a	0.01 to 40	Baffin Bay	Kyhne et al. 2014	
		Drilling	184 ^a	0.01 to 40			
		Drilling unit	169 ^a		Beaufort /Chukchi Seas	Austin et al. 2018	
		Semi-submersible	170 ^a	0.01 to 32			
	Drillship	175 ^a					
	Excavation			192 ^a		Beaufort /Chukchi Seas	Austin et al. 2018
				193 ^a	0.01 to 32		
				193 ^a			
			238 ^e		Chukchi Sea	Delarue et al. 2011	
			217 ^e		Chukchi Sea	Delarue et al. 2012	
		Single airgun	222 ^a	0.02 to 1	Beaufort Sea	Greene and Richardson 1988	
	Seismic airguns	47 L, 12 gun array	248 ^a	0.02 to 1			
		Western Polaris, 24 gun array	250 ^e				
		Arctic Star, 24 gun array	246 ^e		Beaufort Sea	Ljungblad et al. 1988	
		Western Aleutian, 20 gun array	230 ^e				

		Western Beaufort, 11311 cm ³ single airgun	220 ^e		
		3480 in ³ array	247 ^b		
		140 in ³ array	239 ^b	Baffin Bay	Martin et al. 2017
		30 airgun array	248 ^e	Beaufort Sea	Richardson et al. 1986
		1150 in ³ array	211 ^c	Arctic Ocean	Roth and Schmidt 2010
Vessel Traffic	Ice Breaker	Bubbler System	192 ^d	0.01 to 20	Beaufort Sea
		Propeller Cavitation	197 ^d	0.01 to 20	
	Research Vessel	Transiting	176 ^a	0.063 to 20	Beaufort Sea

3.2 Prevalence of Arctic Vessel Traffic

Vessel traffic has been increasing throughout the Arctic over the past few decades (Stephenson et al. 2011; Zhang et al. 2016; Dawson et al. 2018). For example, in the Canadian Arctic, all vessel traffic was three times higher in 2015 than in the 1990s (Dawson et al. 2018). There are currently two main routes to transit the Arctic: the Northern Sea Route (NSR) along the northern coast of Russia, and the Northwest Passage (NWP) through the Canadian Arctic Archipelago. Currently, the NSR is used much more than the NWP (Arctic Council 2009; Reeves et al. 2014), although both are predicted to be more accessible in the near future (Stephenson et al. 2011; Smith and Stephenson 2013). The highest level of vessel traffic in the Arctic is currently in the Barents Sea and Greenland Sea, between Europe and Svalbard (Reeves et al. 2014). Based on climate change models, the Arctic will likely be more accessible to vessel traffic and thus an increase is expected (Arctic Council 2009; Stephenson et al. 2011; Smith and Stephenson 2013).

3.3 Oil and Gas Exploration and Extraction

Noise sources related to oil and gas exploration include seismic airguns, drilling activities, site construction (e.g., pile driving) and maintenance, and vessel activity directly related to the oil and gas operation, such as crew vessels and shipping materials and supplies to and from the oil platform. Seismic airguns are one of the most common and significant sources of noise from oil and gas activities, and are also the most researched anthropogenic noise source in the Arctic (Table 3, Figure 3, 4). Peak source levels of seismic airguns in the Arctic ranged from 211 to 250 dB (Table 3, Figure 3); these values were measured using a variety of methods, including zero-to-peak and peak-to-peak. Drilling was another common source of noise from oil and gas operations recorded in the Arctic, and source levels ranged

from 146 to 190 dB_{rms} (Table 3). One study also measured source levels of excavation activities (mudline cellars), with source levels around 193 dB_{rms} (Table 3; Austin et al. 2018).

3.4 *Prevalence of Oil and Gas Activities*

Oil and gas activities are widespread throughout the Arctic (Reeves et al. 2014). The largest impact of oil and gas activities on underwater noise levels are from seismic airguns, and most energy from seismic airguns is below 100 Hz. The geographic locations of these surveys changes yearly, but they tend to have a wide-reaching impact on ambient sound levels regardless of their location. Oil and gas extraction activities also increase underwater noise levels (Blackwell et al. 2004). Although drilling has a much lower source level than seismic airguns, it will add to overall levels (Blackwell et al. 2004). Active drilling operations will also lead to increased vessel traffic in an area for transporting crew and materials to and from an active operation (Ellison et al. 2016). At this time, information on the locations of active oil and gas extraction activities or seismic airgun surveys is not readily available throughout the Arctic, so which regions are most impacted by these anthropogenic activities cannot currently be discussed. However, primary areas of interest for oil extraction (past or present) in the Arctic include the Barents, Beaufort, Chukchi, North, and Norwegian Seas (Reeves et al. 2014). Exploration activities cover a much broader range, and are essentially circum-Arctic.

3.5 *Detectability Distances*

Some anthropogenic noise can be detected over great distances in the Arctic, whereas others may barely propagate away from the source. The exact distances will vary temporally and spatially depending on the propagation characteristics at different sites at different times of year. The values reported in this section are simply examples, and are not representative of detectability distances for all sources throughout the Arctic. Seismic airguns can be detected from greater than 1300 km away (Thode et al. 2010), vessel noise from greater than 100 km (Halliday et al. 2017), and drilling noise from just over 9 km away (Blackwell et al. 2004). For comparison, bowhead whales can be detected from up to 130 km away (Tervo et al. 2012), bearded seals from up to 45 km away (Stirling et al. 1983), and beluga whales from 3 km away (Simard et al. 2010). There is a large amount of variation in propagation distances between different sources, which depends on how noisy the source is and what its peak frequency is. High amplitude sounds propagate farther than low amplitude sounds, and low frequency sounds typically propagate farther than high frequency sounds. Propagation also depends heavily on water depth, bottom sediment, and water characteristics (temperature and salinity). Finally, different receivers (or listeners) will have different detection abilities. Even though humans can detect faint underwater sounds using hydrophones and computer software, a marine animal may not be able to detect that sound due to their hearing sensitivity, or the opposite may be true. We can assume that high amplitude, lower frequency sounds will have a greater range of detectability and also a greater impact than low amplitude, higher frequency noises, but the precise distance of detectability and impact will vary between receivers and locations.

Summary

The two current largest sources of anthropogenic noise in the Arctic are vessel traffic and seismic airguns, although active drilling platforms also create noise. Source levels for vessel traffic in the Arctic have only been measured a few times, and mostly for ice breaking activity. Ice breaking activity is typically higher than normal vessel noise, and can be higher than 200 dB_{med}. Source levels for typical vessel traffic ranges between 159 and 178 dB_{rms} in non-polar regions, with an average of 173 dB_{rms}. Merchant vessels, such as cargo vessels and tankers, can have much higher source levels, with an average source level of 197 dB. Vessel traffic occurs throughout the Arctic, but tends to be greater in the Northern Sea Route than in the Northwest Passage, and even more traffic occurs between Europe and Svalbard in the Barents and Greenland Seas. These areas with greater vessel traffic should have greater noise levels.

Seismic airguns have source levels between 211 and 250, whereas drilling activity has source levels between 146 and 190 dB_{rms}. Seismic airgun surveys occur throughout the Arctic, and vary in location from year to year.

The main knowledge gap related to source level measurements is that there have been relatively few measurements of source levels in the Arctic, especially for vessels (Table 3), and all of those measurements were in North American waters (Figure 4). Increased acoustic monitoring throughout the Arctic could help build up a more detailed library of source level measurements.

4. Impacts of Underwater Noise on Arctic Marine Mammals

4.1 Arctic Marine Mammals

There are a limited number of endemic (resident) marine mammal species in the Arctic, which are added to each summer by species that migrate from subarctic waters (or even farther) for the brief ice-free season. Eleven Arctic marine mammal species have been identified (Conservation of Arctic Flora and Fauna [CAFF] 2017). Of these, the six principal Arctic marine mammals include ringed (*Pusa hispida*) and bearded seals (*Erignathus barbatus*), walrus (*Odobenus rosmarus*), narwhal (*Monodon monoceros*), bowhead (*Balaena mysticetus*) and beluga whales (*Delphinapterus leucas*). The additional five species include the other northern ice seals, harp seals (*Pagophilus groenlandicus*), hooded seals (*Cystophora cristata*), spotted seals (*Phoca largha*), and ribbon seals (*Histriophoca fasciata*), and the polar bear (*Ursus maritimus*). In addition, there are an increasing number of seasonal Arctic marine mammal migrants including fin (*Balaenoptera physalus*), minke (*Balaenoptera acutorostrata*), grey (*Eschrichtius robustus*), humpback (*Megaptera novaeangliae*), and killer whales (*Orcinus orca*), harbour porpoise (*Phocoena phocoena*), as well as occasionally sperm (*Physeter macrocephalus*) and blue whales (*Balaenoptera musculus*) and harbour seals (*Phoca vitulina*). As ocean temperatures increase, more subarctic species are being regularly observed in Arctic waters, particularly in areas such as the Chukchi Sea or Greenland Sea where there exists direct pathways to subarctic waters (Brower et al. 2018). Current population and conservation status of each of the eleven species of Arctic marine mammals is reviewed in Laidre et al. (2015), and the main anthropogenic threats reviewed in International Whaling Commission [IWC] (2014).

4.2 Hearing in Marine Mammals

Like humans, marine mammals have an inner ear that translates sound pressure into signals that the marine mammal can discern. Hearing sensitivity of the species of marine mammal present in the Arctic differs between the three broad biological categories of pinnipeds (seals, sea lions, and walrus), odontocete cetaceans (toothed whales), and mysticete cetaceans (baleen whales) (Southall et al. 2007). Empirical tests of hearing sensitivities have been carried out in a number of species in the first two categories, including Arctic species, but not in the last category, baleen whales (reviewed in Houser et al. 2017). Baleen whale hearing thresholds have been estimated based on morphology (Parks et al. 2007; Ketton and Mountain 2014; Cranford and Krysl 2015). Empirical hearing threshold tests on Arctic-endemic marine mammal species have only been conducted on ringed seals (Sills et al. 2015) and beluga whales (Awbrey 1988; Finneran et al. 2005; Popov et al. 2013, 2014, 2015; Nachtigall et al. 2016; Mooney et al. 2018), although other semi-Arctic marine mammals have been measured (e.g., Sills et al. 2014; Kastelein et al. 2015), and an audiogram has been modeled for bearded seals (Li et al. 2011) and fin whales (Cranford and Krysl 2015) (see audiograms in Figure 5).

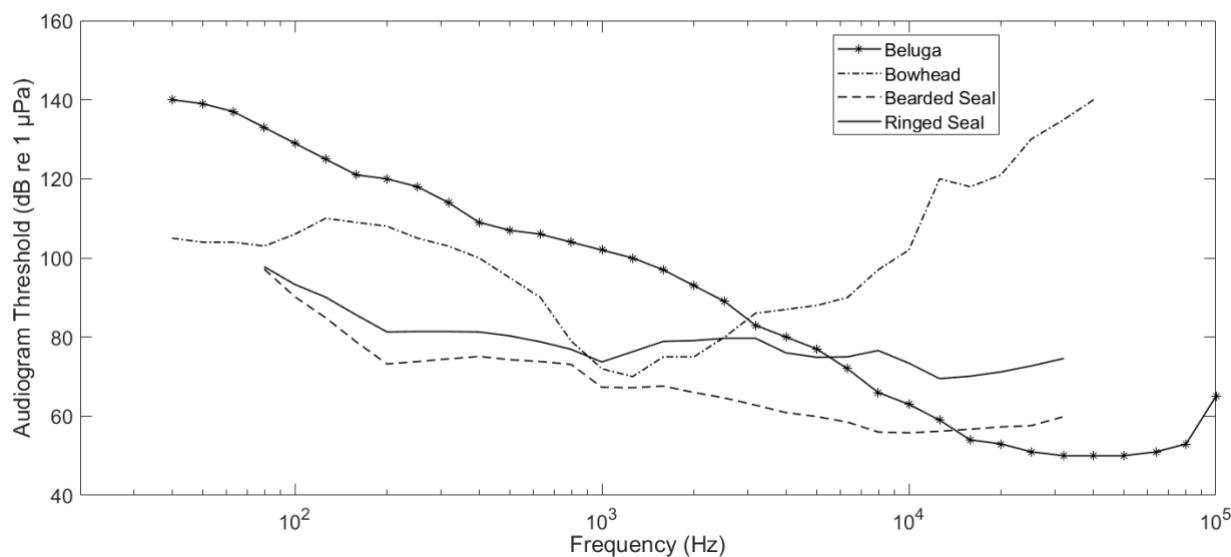


Figure 5. Audiograms for beluga, bowhead, bearded seal, and ringed seal. Audiograms for beluga and ringed seal were measured in live animals (Castellote et al. 2014; Sills et al. 2015; Erbe et al. 2016). The bowhead audiogram is based on a modeled audiogram for fin whale (Cranford and Krysl 2015), and the bearded seal audiogram is modeled based on bearded seal morphology (Li et al. 2011).

Hearing sensitivities in marine mammals cover wide bandwidths and can be generally grouped into functional hearing groups for the purposes of regulation and management (Southall et al. 2007; NMFS 2016). Four of the five recognized functional hearing groups are represented in the Arctic taxa (Southall et al. 2007; Finneran and Jenkins 2012; Houser et al. 2017): (1) low frequency cetaceans (i.e. bowhead, fin, grey, and minke whales) with an estimated hearing range of 7 Hz to 22 kHz; (2) mid-frequency cetaceans (i.e. beluga whales, narwhals, and killer whales) with an estimated range of 150 Hz to 160 kHz; (3) high-frequency cetaceans (i.e. harbour porpoise) with an estimated range of 200 Hz to 180 kHz; and (4) pinnipeds in water (i.e. all pinnipeds listed above) with an estimated range of 75 Hz to 75 kHz. The pinnipeds can be further divided into three main taxonomic categories or families: otariids (eared seals), phocids (earless seals), and walrus (family Odobenidae). With the exception of the walrus, all of the Arctic species of pinnipeds are phocids, whose hearing is more acute underwater; for this reason Finneran and Jenkins (2012) split the two pinniped groups: phocids (in water), 75 Hz to 75 kHz; and otariids (including odobenids (walrus), in water), 100 Hz to 40 kHz. For reference sake, hearing in humans is generally listed as ranging between 20 Hz and 20 kHz, although in practice, most adult humans do not perceive sounds well above 15 kHz (reviewed in Houser et al. 2017).

4.3 General Impacts of Underwater Noise on Marine Mammals

Substantial literature exists on the impacts of underwater noise on marine mammals spanning the past 50 years, which has been well summarized in several reviews (e.g., Richardson et al. 1995; Hildebrand 2005; NRC 2005; MMC 2007; Nowacek et al. 2007; Weilgart 2007; Tyack 2008). In addition to these broad treatments of noise impacts on marine

mammals, Moore et al. (2012) provides a good synthesis of the issue with respect to Arctic marine mammals. Clark et al. (2009) and Erbe et al. (2016) have reviewed the issue of acoustic masking in marine mammals. Ellison et al. (2012) and Gomez et al. (2016) reviewed the problem of context dependency of marine mammal behavioural responses to noise. And finally, Southall et al. (2016) provide a review of experimentally induced behavioural responses of cetaceans to sonar.

For the purposes of this review, a few summarizing points are important to make clear. The impacts of underwater noise on marine mammals can be thought of as either direct (affecting the species of interest) or indirect (affecting other species that in turn affect the species of interest). Most of the work to date has focused on direct impacts which can be thought of as belonging to two non-mutually exclusive categories: (1) physical and (2) behavioural. Recent studies have begun to look more closely at the interaction between these categories. Physical impacts are generally restricted to situations where the proximity to a noise source or the exposure duration is sufficient to result in physical damage to the organism exposed. Results can range from temporary or permanent hearing damage (referred to as temporary or permanent threshold shifts: TTS or PTS) to death (Finneran 2016). Behavioural impacts are wide ranging but in general refer to a shift in an organism's behaviour (e.g., increased vigilance or avoidance) that may have biologically significant implications (e.g., decreased foraging). Some behavioural effects may be obvious, while others, such as changing signal structure and amplitude, may be less so and often involve estimating a change to the animal's energetic input/output (NRC 2005; Parks et al. 2011; Tyack and Janik 2013). Behavioural changes are directly linked to the underlying physiology of the animal, which are similarly impacted by underwater noise in both the short-term (Romano et al. 2004) and long-term (Rolland et al. 2012). Behavioural changes are also linked directly to how animals perceive sound and how noisy anthropogenic activities mask biologically important acoustic signals. As noted above, acoustic masking has been reviewed thoroughly in Clark et al. (2009) and Erbe et al. (2016).

Intuitively, similar to a radiating sound source covering an increasing area while it decreases in amplitude, physical impacts of noise have been characterized as happening in smaller areas close to the source where the received levels are high enough to cause damage (Richardson et al. 1995). Behavioural impacts, on the other hand, have been characterized as occurring further away from the source at lower amplitudes, and covering a much larger footprint (Richardson et al. 1995). The difficulty has been in determining biological significance and other issues such as thresholds of disturbance.

The interaction between physical and behavioural noise impacts and other complex pathways of impact have been the focus of more recent attention. Examples include increased stress levels of marine mammals exposed to chronic noise (Rolland et al. 2012). Others include behavioural responses that lead to physical damage, such as how the escape response of narwhal may cause a dive reflex which affects heart function (Williams et al. 2017).

Indirect pathways of impact have also been considered but not clearly demonstrated and may often be more important than direct pathways of impact (Ockendon 2014). One example of an indirect effect pathway is noise affecting the behaviour of a prey species (e.g., fish dispersing or relocating), which in turn affects the marine mammals (Mann et al. 1998;

Wilson et al. 2011; Simpson et al. 2015). All of these considerations are important with respect to Arctic marine mammals.

The biological significance (i.e. impact on individual fitness or population demography) of both physical and behavioural impacts and their interactions is also difficult to determine. If an impact results in an animal's death, the impact is relatively straightforward but still needs to be scaled to the population level to determine if it truly impacts the population viability of the species. However, most impacts are not lethal, and even if so (e.g. midrange military sonar and beaked whale deaths: Tyack et al. 2011), the number of animals affected is often difficult to accurately determine. Furthermore, determining how a sub-lethal noise impact affects the animal's net fitness, and ultimately the species success, is very difficult, especially for long-lived animals such as marine mammals (New et al. 2013). In most cases, only correlative studies over broad geographic or time scales are available.

4.4 Impacts of Underwater Noise on Arctic Marine Mammals

A number of studies have outlined the potential for and approaches to biological impacts of underwater noise on marine mammals in the Arctic (e.g., Moore et al. 2012), although only limited empirical data exist. All of these studies examine behavioural disturbance, and none directly measure other impacts such as hearing damage, stress levels, or acoustic masking. Essentially all of the early work on noise impacts on marine mammals in the Arctic began with the oil and gas development push in the 1970s and 1980s focusing on the Alaskan North Slope (Malme et al. 1983, 1984; Richardson and Malme 1993; Richardson et al. 1995) (Figures 6, 7). The oil and gas activity, primarily in Alaska but also in the Canadian Beaufort, set the stage for an ongoing set of noise impact assessments, primarily aimed at bowhead whales.

Bowhead Whales – Results from a large volume of work clearly showed that bowhead whales would react to seismic airgun noise, usually by avoidance (Richardson et al. 1986). Airgun noise caused the whales to regularly remain 20 km away from the source (Richardson 1999). Depending on the location of the source, the whales would often (but not always) swim closer to the shore (Richardson et al. 2008). The results also raised the issue of whether distant airgun activity, in addition to nearby airgun activity, affected bowhead behaviour (Richardson et al. 2010). Bowheads would also react to airgun noise by changing their calling rates (Richardson et al. 2012; Blackwell et al. 2013); at the first detection of airguns, bowhead calling rates would increase. However, as airgun noise reached a certain loudness threshold, calling would decrease and terminate (Blackwell et al. 2015). Robertson et al. (2013) and Robertson (2014) also found that bowhead dive cycles were disrupted by seismic activity. This is not only a potentially important behavioural impact, but could also cause a significant change in the estimation of numbers of whales present. Finally, several studies have indicated that bowhead responses to seismic activity were context-dependent, with the whales tolerating higher noise levels during feeding than when migrating (Koski et al. 2008; Robertson et al. 2013).

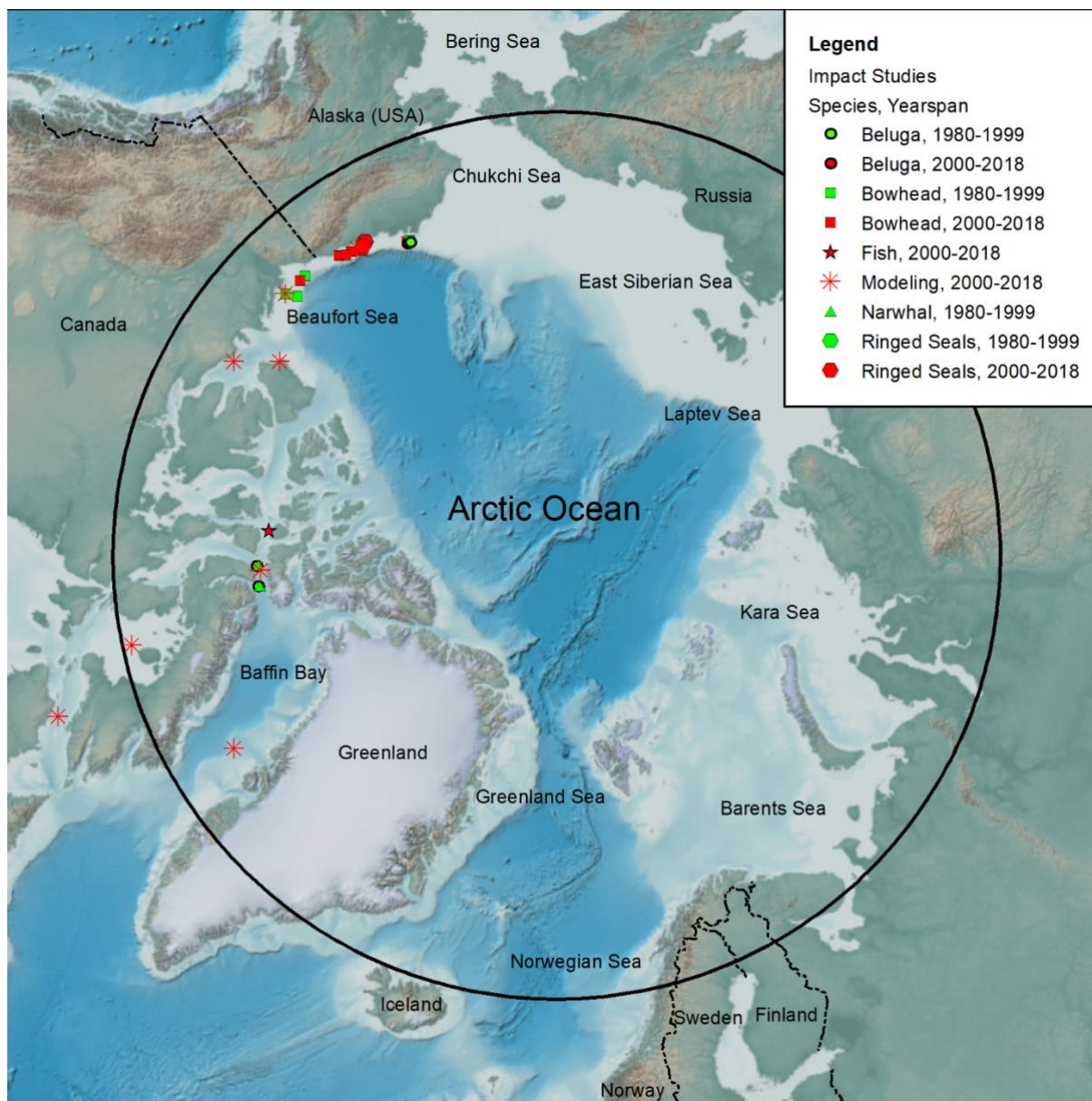


Figure 6. Location of studies on the impacts of underwater noise on marine animals in the Arctic. Symbols are colour-coded by the timeframe of the study, green for 1980-1999 and red for 2000-2018. See Figure 7 for a zoomed-in view of the North Slope of Alaska. Basemap credit: National Oceanic and Atmospheric Administration, National Geophysical Data Center, and International Bathymetric Chart of the Arctic Ocean, and General Bathymetric Chart of the Ocean.

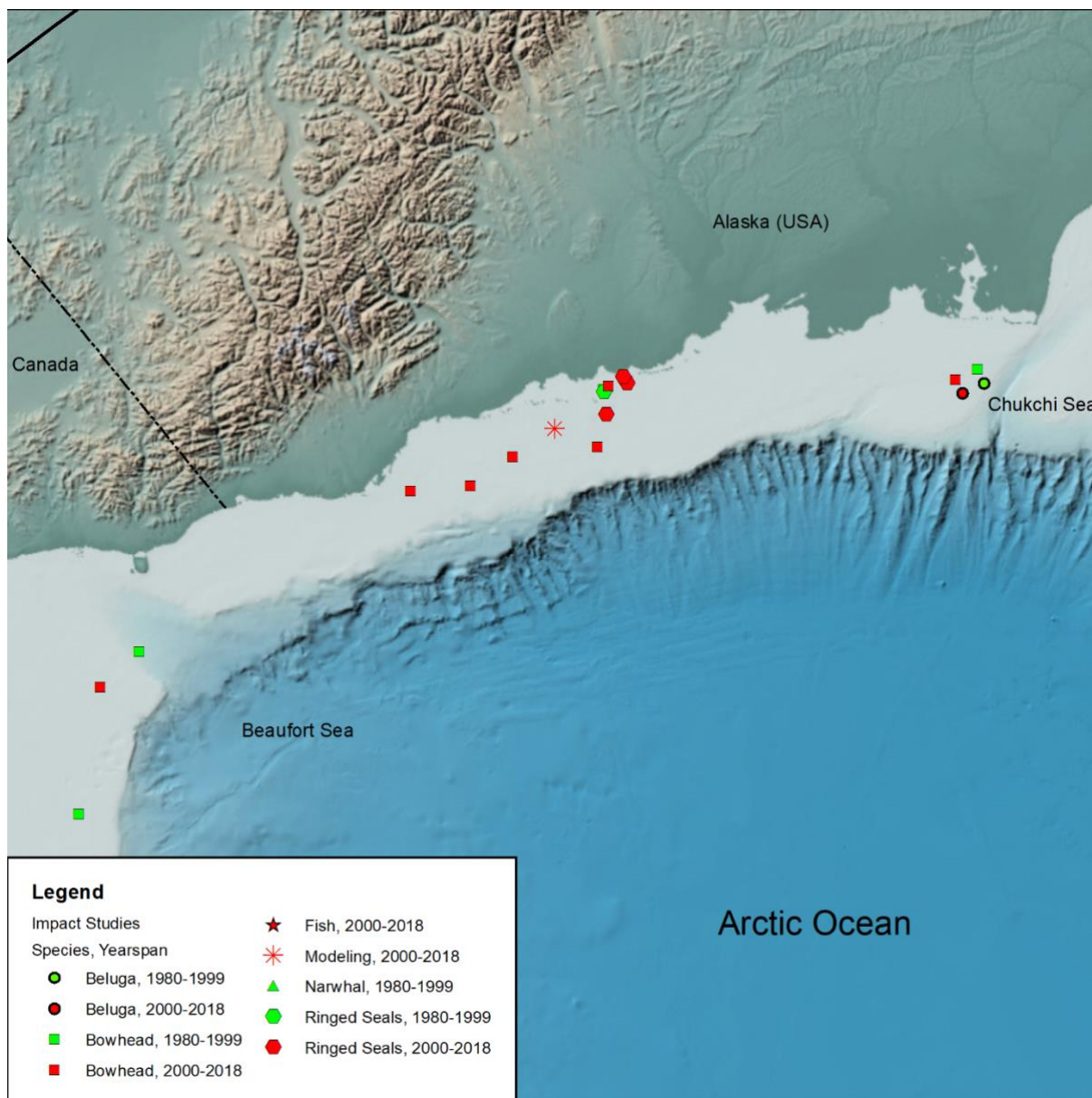


Figure 7. Location of studies on the impacts of underwater noise on marine mammals along the Arctic North Slope of Alaska. Symbols are colour-coded by the timeframe of the study, green for 1980-1999 and red for 2000-2018. Basemap credit: National Oceanic and Atmospheric Administration, National Geophysical Data Center, and International Bathymetric Chart of the Arctic Ocean, and General Bathymetric Chart of the Ocean.

Bowheads also react to other oil and gas operational noise such as drilling and dredging activity through avoidance (Richardson et al. 1990) or changes in calling behaviour; calling rates first increased and then decreased after a certain level in response to continuous, tonal noise from oil rigs, similar to the response to airgun noise (Blackwell et al. 2017). Bowheads have also been shown to react to, by avoidance, other noise sources such as aircraft (Richardson et al. 1985; Patenaude et al. 2002). Reactions became strong when the aircraft was closer than 305 m and difficult to detect at distances greater than 610 m.

Additionally, Richardson et al. (1985) made observations and recorded reactions of bowheads to boats approaching and noted among the responses, movement away and changes in dive cycles. Attempts were made to conduct playback experiments of icebreaker sounds to bowheads; however, the results were inconclusive largely due to weather (LGL and Greenridge 1995).

Belugas and narwhals – A number of studies focused on reactions of both beluga whales and narwhals to ship sounds, primarily icebreakers, indicating a degree of negative responses (Cosens and Dueck 1988; Finley et al. 1990; Blevins 2015). Acoustic playback experiments of icebreaker sounds to beluga whales were inconclusive (LGL and Greenridge 1995). At least some of these results indicate that a significant degree of habituation or learned tolerance by beluga whales can occur that can be specific to certain vessel types (Lesage et al. 1999). In addition, measurements of received levels from ice breakers and ambient sound levels indicated the definite potential for noise impact on beluga whales (Cosens and Dueck 1993; Erbe and Farmer 2000). Patenaude et al. (2002) also tested beluga whale responses to aircraft and found the belugas to be more sensitive than bowheads.

Pinnipeds – The empirical studies of noise impacts on pinnipeds all focused on ringed seals. These studies generally found that ringed seals were far more tolerant of noise than whales, whether it be construction-based noise such as from pile driving (Blackwell et al. 2004), drilling (Moulton et al. 2003), or seismic airguns (Harris et al. 2001). Although avoidance behaviours were observed in response to airgun sounds (Harris et al. 2001), it was only at the most intense noise levels (i.e. full seismic array firing) and even then, individuals only moved relatively short distances. Richardson (1999) noted that observations of seals were less frequent during seismic activity.

4.5 Modeling the Impacts of Underwater Noise on Arctic Marine Mammals

A small number of studies have modeled the impacts of underwater noise on Arctic marine mammals. These results are grouped into a separate section because these are results from modeling studies, rather than impacts that have been measured. Modeling studies allow for examination of potential noise levels and impacts on animals in regions where direct empirical measurements are difficult to obtain. Modeling studies can also be used to forecast future impacts that have not occurred yet. Challenges associated with modeling studies in the Arctic, especially for all of the studies reported here, is that there has been almost no ground-truthing, so the precision and accuracy of the results are unknown.

Erbe and Farmer (2000) modeled how beluga whales living in the Beaufort Sea are affected by underwater noise from ice breaking activities, and specifically examined acoustic masking, audibility, behavioural disturbance, and hearing damage (TTS). TTS was assumed if a beluga was exposed to a noise at least 96 dB above their audiogram threshold for at least 30 minutes. The model suggested that noise from ice breaking can be audible to belugas out to 32 or 40 km, could cause masking between 14 and 71 km, and behavioural disturbance out to 32 and 46 km. Belugas staying within 40 to 120 m of the ice breaker for at least 20 minutes would have a TTS of 12 to 18 dB.

Ellison et al. (2016) modeled the cumulative noise exposure to bowhead whales migrating past an active oil and gas operation, including noise from vessel traffic and drilling.

Approximately 2% of their modeled whales would experience sound levels > 180 dB re $1 \mu\text{Pa}$ if they did not change their migratory route in response to noise, whereas if they did change their route, $< 1\%$ of the population would experience levels > 160 dB re $1 \mu\text{Pa}$. The majority of bowhead whales that were simulated would have been exposed to audible levels of sound from the oil and gas operation.

Aulanier et al. (2017) modeled noise from shipping at four sites in the Canadian Arctic using 2013 Canadian Coast Guard ship transit information in order to estimate and forecast the distribution of shipping-noise levels and risk of impact on marine habitat based on the predominant 63-Hz $1/3$ octave shipping noise band, the probability of exceeding ambient sound levels, and the risk of impact on low-frequency marine mammals. These authors found the greatest impacts on marine mammals in Hudson Strait and Lancaster Sound due to greater vessel traffic, with the least impact in the Amundsen Gulf and Foxe Basin. However, these authors predicted a large increase in impacts on marine mammals at all four sites based on a 10-fold increase in vessel traffic in the future.

Halliday et al. (2017) modeled sound propagation from two different vessels (research vessel and tanker) transiting the proposed shipping corridor through the western Canadian Arctic, and assessed zones around the vessels where behavioural disturbance were predicted to occur (according to an unweighted 120 dB disturbance threshold), and zones where vessel noise was above ambient levels. The authors also assessed the overlap of these noise levels with two marine protected areas in the region where marine mammals occur. Their models indicated that vessel noise would be above ambient levels, and therefore likely to be audible beyond 100 km away under quiet conditions. A noisy vessel could affect behaviour of marine animals as far as 52 km away, whereas a quieter vessel may only affect behaviour of marine mammals 2 km away. They also made *in situ* acoustic recordings of a vessel from distances up to 135 km away.

Schack and Haapaniemi (2017), using a simple propagation model, modeled distances at which different marine mammals in Baffin Bay could detect vessels during open water and ice covered seasons for vessels traveling to and from Baffinland on Baffin Island, Canada. Based on this model, ringed seals could hear vessel noise from more than 100 km away, beluga whales more than 50 km, and walrus close to 40 km in open water. Under ice-covered conditions, ringed seals and walrus could hear vessel noise from more than 70 km away, and belugas could hear vessel noise from more than 40 km away. Given the simple model used and that available noise data for the model was more than 30 years old, these distances include a large degree of uncertainty. However, this highlights the current lack of available data and the importance of gathering new and improved recordings in a wider part of the Arctic.

Pine et al. (2018) modeled noise from vessels traveling through the western Canadian Arctic, and assessed how this noise could cause auditory masking in all four marine mammals plus fish in this region. They found that masking was species-specific, but was highest for vessels traveling faster than for slower vessels. Seals were more prone to masking than whales, and fish were the least sensitive to masking.

Summary

Multiple studies have examined the behavioural impacts of anthropogenic underwater noise on Arctic marine mammals, especially for bowhead whales, but no studies have examined permanent or temporary hearing damage or physiological impacts to Arctic marine mammals. However, studies on non-Arctic species show that different taxonomic groups of marine mammals have varied sensitivity to noisy anthropogenic activities, and physical damage to marine mammals only occurs very close to noisy sources.

Bowhead whales alter their behaviour in the presence of noise from seismic airguns by avoiding the survey vessel, changing calling rates, and altering their dive cycle. These reactions are also context-dependent, where foraging bowheads would tolerate higher noise levels than would migrating bowheads. Bowheads showed similar responses to drilling and dredging activities by avoiding these activities or changing calling rates. Bowheads also react to noise from aircraft and boats by avoiding them and changing their diving behaviour. Fewer studies have focused on belugas and narwhals, but both species appear to be sensitive to intense noises from ice breaking activities and other shipping noises. Arctic seals appear to be much more tolerant of anthropogenic underwater noise than the whales are, although they still tend to avoid intense noise from seismic airguns.

There are several knowledge gaps related to noise impacts on Arctic marine mammals. Geographically, all studies in this review were in North America. Taxonomically, the majority of studies focused on bowhead whales, with only a handful on belugas, narwhals, and ringed seals. Given that CAFF identifies 11 species of Arctic marine mammals, there are an additional seven species that have not been studied in relation to noise impacts. All studies on noise impacts focused on behavioural impacts, and none focused on physiological effects, physical damage, chronic effects, or population-level effects, and the effects of long-term exposure were only mentioned, but not extensively studied. Moreover, no study assessed the cumulative impacts of underwater noise along with other stressors.

5. Impacts of Underwater Noise on Arctic Marine Fishes

5.1 *Hearing in Marine Fishes*

Similar to marine mammals, fish have evolved to detect and respond to sound for a variety of life processes. They also produce sounds, either intentionally (i.e. mating calls during courtship) or incidentally (i.e. sudden changes to swimming directions or during feeding). All fishes have ears that detect sound and convey information about gravity and acceleration (Popper et al. 2014). Several reviews have been published on fish hearing and relative sensitivities to underwater sound (Fay 1988; Fay and Simmons 1999; Popper et al. 2003; Popper and Schilt 2008; Fay and Edds-Walton 2008; Sand and Bleckmann 2008).

In general terms, sound underwater is detected by fishes through the inner ear and swim bladder (if present) (Moyle and Cech 2004). Most fish have swim bladders used in buoyancy control. The main structures of the ear responsible for sound detection are the otolithic organs (sacculle, lagena and utricle), with the semi-circular canals also comprising the inner ear. Otoliths contained within the otolithic organs respond to particle motion of a sound wave. The greater density of the otoliths results in them moving at a slower rate (amplitude) and different phases compared to the surrounding tissue. The severity and orientation of the epithelium stimulation by contacting the otolith (otoliths are surrounded by sensory epithelia) corresponds to the intensity and direction of the receiving stimulus.

Many fishes are also able to detect the pressure component of a sound wave through their swim bladders, or other gas-filled structures. Such anatomical adaptations allow for the transformation of sound pressures into displacement movements which cause stimulation in the otolithic organs (the vibrations in the surrounding tissue from the compression of air inside the gas-filled structure causes this). Some teleosts have a chain of small bones, called Weberian ossicles, which provide a physical connection between the swim bladder and inner ear for vibration energy to transfer through.

Fish that can detect sound pressure as well as particle motion have higher sound sensitivities compared to those that do not, and have a wider hearing bandwidth (Sand and Enger 1973A,B; Sand and Hawkins 1973, 1974; Fletcher and Crawford 2001; Popper et al. 2014). Atlantic cod is an example of fish that detect sound pressure as well as particle motion. Some fish, like the Atlantic salmon, have swim bladders but only detect particle motion (Hawkins and Johnstone 1978). Fish with no swim bladder or other gas chamber only detect particle motion and not sound pressure. Arctic cod and polar cod, as well as most other Arctic species, do have swim bladders, and thus are likely to detect both pressure and particle motion. As such, they are more susceptible to noise impacts than fish without a swim bladder.

The lateral line does not play a large role in hearing in fish, and likely only detects particle motion one to two body lengths from the source (Popper et al. 2014). Lateral lines are unlikely to be damaged by anthropogenic noise (Popper et al. 2014).

5.2 General Impacts of Underwater Noise on Marine Fishes

Similar to marine mammals, fish are also highly sensitive to anthropogenic noises. Acute and chronic sound exposures to anthropogenic noise can lead to a range of detrimental impacts. Listed impacts commonly reported in the literature are (1) barotrauma (leading to injury and death); (2) impaired hearing sensitivities; (3) auditory masking; and (4) altered behaviours, which raise questions about population-level effects on fitness and survival. Popper et al. (2014) review these impacts individually for fish, as well as providing noise exposure guidelines for fish.

Barotrauma is tissue damage caused by sudden changes in pressure (Popper et al. 2014). For fish, sudden changes in depths (through startle responses) or pressure waves from sound can lead to barotrauma. Sudden decrease in pressure, such as from impulsive sounds and explosions, can lead to gasses in the blood becoming insoluble, causing damage to surrounding tissues (injury), and changes to gas volumes within gas-chambers causing the chamber to expand and collapse rapidly (Popper et al. 2014). Many different studies have experimentally determined that barotrauma can occur in a variety of fish, including salmonids (McKinstry et al. 2007; Stephenson et al. 2010; Halvorsen et al. 2011, 2012a; Brown et al. 2012; Casper et al. 2012), acipenserids, cichlids, and achirids (Halvorsen et al. 2012b), and moronids (Casper et al. 2013).

Intense noise, either impulsive or continuous, can reduce hearing sensitivities by damaging the sensory hair cells of the inner ear. This is known as a hearing threshold shift. A temporary threshold shift (TTS) is when hearing sensitivities are lower following sound exposure but recover after a period of time. The effect on hearing is likely TTS, as opposed to permanent threshold shifts (PTS) (Popper et al. 2014), since fish constantly add sensory hair cells (e.g., Corwin 1981; 1983; Popper and Hoxter 1984; Lombarte and Popper 1994) and sometimes replace damaged cells (Lombarte et al. 1993; Smith et al. 2006; Schuck and Smith 2009).

As discussed in Section 2.5, the main sources of intense anthropogenic underwater noise in the Arctic are from seismic airguns, vessel traffic, and to a lesser extent, noise from pile driving and drilling. The impacts of these activities on non-Arctic fish are reviewed here. Seismic airguns can cause fish to change their behaviour by fleeing an area and forming more cohesive groups while fleeing. This response increased as the noise level increased (Wardle et al. 2001; McCauley et al. 2002; Fewtrell and McCauley 2012), but fish do become habituated through time (Wardle et al. 2001; McCauley et al. 2002), and, once acclimated, fish may behave normally (Wardle et al. 2001). Fish may even fully leave their preferred habitats if noise from seismic airguns is too high (Paxton et al. 2017), which therefore affects the distribution and abundance of fish in an area (Slotte et al. 2004; Paxton et al. 2017). Hastings and Miksis-Olds (2012) found that seismic airguns did not cause TTS in fish. However, McCauley et al. (2003) did find evidence of damage to fish ears (sensory epithelia) after exposure to seismic airguns, with no evidence of repair or replacement 58 days post-exposure. Another study, however, found no damage caused to the ears of freshwater fish in northern Canada, although both species have previously shown TTS (Song et al. 2008).

Vessel noise can cause acoustic masking (Codarin et al. 2009; Putland et al. 2018; Stanley et al. 2018), changes in behaviour (Sara et al. 2007), and increased stress hormone levels (Wysocki et al. 2006; Celi et al. 2015). Cox et al. (2018) conducted a meta-analysis that found that vessel noise impacts foraging ability, predation risk, and reproductive success.

Although noise from drilling activities is not nearly as high as seismic airguns and does not have as widespread an impact as vessel noise, it still can affect fish. Spiga et al. (2017) found that fish move around their environment more, show behavioural signs of increased stress, and show reduced predator inspection behaviours in response to drilling noise.

5.3 Impacts of Underwater Noise on Arctic Marine Fishes

The impacts of underwater noise have been studied in very few Arctic marine fish species. The only Arctic-endemic marine fishes identified in this review that have been studied are Arctic cod (Ivanova 2016) and shorthorn sculpin (*Myoxocephalus scorpius*) (Ivanova et al. in press). In both of these studies, the authors used acoustic telemetry to study how the movement behaviour of both species was impacted by noise from vessel traffic in Resolute Bay, Canada (Figure 6). Both species altered their home range and movement patterns in the presence of vessels, even when the vessels were stationary. This suggests that these species have not habituated to any noise from vessels, regardless of whether the vessel is moving or stationary.

Atlantic cod is found in the eastern Arctic, and has been well-studied in its range outside the Arctic. Moreover, studies conducted on Atlantic cod may be directly relevant to Arctic-endemic cod species (i.e. Arctic cod, *Boreogadus saida*, and polar cod, *Arctogadus glacialis*). For example, Stanley et al. (2018) found that vessel noise caused significant acoustic masking in Atlantic cod, which suggests that Arctic-endemic cods likely would also experience acoustic masking.

Two studies also examined the impact of seismic airguns on Arctic freshwater fish in the Mackenzie River Delta, Canada. Cott et al. (2012) assessed hearing damage and inner ear damage in *Couesius plumbeus* (lake chub), a hearing specialist; *Esox lucius* (northern pike), a hearing generalist (both juvenile and adults); and *Coregonus nasus* (broad whitefish) in the presence of a 730 in³ seismic airgun array. The authors found TTS in all species, no evidence of permanent damage, and no evidence of startle or herding responses associated with air gun noise. Jorgenson and Gyselman (2009) studied the same fish community around the same time, and found no evidence of behavioural disturbance (i.e. fish did not change their behaviour). Small airgun arrays and single-pass nature of riverine seismic programs may mean that they are not comparable to marine seismic surveys.

Although there is a wide-range of studies on the impacts of underwater noise on non-Arctic marine fish, it may be difficult to infer similar responses of Arctic marine fish. One obvious reason is that Arctic marine fish live in much colder water, often near 0°C. These low temperatures likely mean that the physiological processes occurring in Arctic fish are occurring at different rates than for non-Arctic species. Generally, Arctic species may even

have slow response rates in behavioural changes simply due to the slower underlying physiological mechanisms. However, given the very recent studies on Arctic cod and shorthorn sculpin by Ivanova (2016) and Ivanova et al. (in press), Arctic marine fish do appear to be mobile enough to demonstrate avoidance behaviours. Barotrauma injuries for Arctic cod from noisy, impulsive sounds are also possible since they have internal gas chambers.

Summary

The impacts of anthropogenic underwater noise have only been studied for two of the 633 species of Arctic marine fishes: Arctic cod and shorthorn sculpin. Both species altered their home range size and movement patterns in the presence of noise from vessels. Two other Arctic studies examined the influence of seismic airguns on freshwater riverine fishes in the Mackenzie River. One study found temporary threshold shifts in all species examined, but did not find any permanent hearing damage, and neither study found any influence of seismic airguns on the behaviour of the fishes. No other studies were found that examine the influence of underwater noise on fishes in the Arctic. However, based on studies with non-Arctic fishes, it is likely that intense anthropogenic underwater noise can cause barotrauma (leading to injury and death), impaired hearing sensitivities, auditory masking, and altered behaviours in Arctic fishes.

The knowledge gaps for noise impacts on Arctic marine fish are obvious: only two species of the 633 species of Arctic marine fish have been studied, both at the same location in the Canadian Arctic. Studies need to be conducted on a more diverse range of Arctic marine fishes at sites throughout the Arctic before firm conclusions can be drawn. These studies should be conducted on a variety of aspects of underwater noise, including physiological impacts and physical damage, population-level impacts, effects of long-term exposure, chronic impacts, and cumulative impacts. A good starting point would be to focus on fish species with the greatest ecological and social importance or greatest potential sensitivity to noise.

6. Impacts of Underwater Noise on Arctic Marine Invertebrates

6.1 *Hearing in Marine Invertebrates*

There is very little information on hearing in marine invertebrates (Roberts and Elliott 2017). Marine invertebrates are sensitive to low frequency sounds, but only the particle motion component; marine invertebrates do not have an air chamber, and therefore cannot detect the pressure component of sound waves (Breithaupt and Tautz 1990; Goodall et al. 1990; Popper et al. 2001; Carroll et al. 2017; Roberts and Elliott 2017). Sound receptors may be many and varied in marine invertebrates, but two organs have been suggested as likely candidates: the wide range of statocyst or otocyst organs in aquatic organisms and water flow detectors (Normandeau Associates, Inc. 2012). Statocysts are found in cephalopods, some bivalves, echinoderms, and crustaceans (Carroll et al. 2017). In addition to statocysts, cephalopods have epidermal hair cells that help detect particle motion in the near field (Kaifu et al. 2008). Sensory setae on the body and antennae of decapods may be sensitive to low frequency sounds (Popper et al. 2001; Montgomery et al. 2006).

Marine invertebrates are capable of detecting vibrations (Breithaupt and Tautz 1988, 1990; Goodall et al. 1990; Monteclaro et al. 2010; Plummer et al. 1986; Roberts and Breithaupt, 2015; Tautz and Sandeman, 1980). Superficial receptor systems are for the detection of water disturbances (Budelmann 1992), and are found throughout the external body surface of many crustaceans (Breithaupt and Tautz 1990) and consist of either a single cuticular hair or a group of hairs. Cuticular hairs have been described in decapod crustaceans and particularly in lobsters and crayfish (Budelmann 1992). Chordotonal organs can also be used to detect vibrations, and are widespread across crustaceans. These organs are generally associated with joints of flexible appendages (Budelmann 1992). In water, these appendages follow an oscillation caused by a sound wave in the seawater around it, whereby they stimulate the basal chordonal sensory cells.

6.2 *General Impacts of Underwater Noise on Marine Invertebrates*

Multiple studies have examined the influence of anthropogenic underwater noise on non-Arctic marine invertebrates, but no studies have examined the impacts of anthropogenic noise on Arctic invertebrates. The impacts found in non-Arctic species may still be relevant to Arctic species. Here, the focus is on sources of noise that occur in the Arctic: seismic airguns, vessel traffic, pile driving, and drilling. Seismic airguns have been shown to cause mortality in zooplankton, reducing the abundance of zooplankton in an area by up to 64% (McCauley et al. 2017). Other studies have found no impact of seismic airguns on crabs (Pearson et al. 1994; Christian et al. 2003; Boudreau et al. 2009) or lobster larvae (Pearson et al. 1994; Day et al. 2016B). Three studies on lobsters found no damages caused by seismic airguns, but did find sub-lethal effects in feeding behaviour (Payne et al. 2007), serum biochemistry (Payne et al. 2007; Fitzgibbon et al. 2017), and reflexes (Day et al. 2016A). Day et al. (2016A) found delayed mortality in scallops following exposure to seismic airguns, and Anguilar de Soto et al. (2013) found significant body malformations on scallop larvae. Day et al. (2017) found significant physiological harm, increased mortality, and altered behaviour in scallops. However, another study found no effect of seismic airgun surveys on scallops (Harrington et al. 2010). Seismic airgun noise could also cause lesions on the statocysts and other organs of cephalopods (Guerra et al. 2004; Solé et al. 2013). Cephalopods may also

display an alarm response when presented with intense noises from seismic airguns (McCauley et al. 2000; Fewtrell and McCauley 2012).

A few studies have also examined the influence of vessel noise on marine invertebrates. Vessel noise impacts the behaviour of lobsters (Filiciotto et al. 2014), crabs (Wale et al. 2013a), and prawns (Filiciotto et al. 2016). Noise from vessels can also impact the biochemistry and physiology of crabs (Wale et al. 2013b) and prawns (Filiciotto et al. 2016). One study also found that shipping noise can modify how sediment-dwelling invertebrates mediate ecosystem properties, specifically related to nutrient cycling in benthic sediments (Solan et al. 2016).

Finally, one study (Tidau and Briffa 2016) reviewed the behavioural impacts of noise on decapod crustaceans, including noise from pile-driving, seismic airguns, vessel traffic, and white noise and pure tones. Studies reviewed by Tidau and Briffa (2016) suggest a variety of behavioural responses (like locomotion changes) and stress, reduced and slower antipredator behaviours, changes in foraging, suppressed behaviours with an ecological function, and changes to intraspecific social behaviour.

Summary

No studies were found that examined the impacts of underwater noise on Arctic marine invertebrates, therefore this review draws on studies on non-Arctic invertebrates, which may still be relevant for Arctic species. Studies of non-Arctic marine invertebrates have found that seismic airguns can cause mortality in zooplankton and scallops, and sub-lethal impacts, including altered behaviour and serum biochemistry, malformations and lesions, and physiological change in scallops and lobsters. Other studies found no impacts of seismic airguns on crabs, lobsters, and scallops. Vessel noise impacts the behaviour of lobsters, crabs, and prawns. Noise from vessels can also impact the biochemistry and physiology of crabs and prawns, and can modify how sediment-dwelling invertebrates mediate ecosystem properties.

There have been no studies on the impacts of underwater noise on Arctic marine invertebrates. In light of the critical importance of invertebrates at the base of the Arctic food web, it would be helpful to have a better understanding of the effects of anthropogenic noise on a diverse range of Arctic invertebrates at a variety of locations around the Arctic, and the indirect impact of these effects on the species that depend on them.

7. Summary

7.1 Summary

Ambient sound levels are generally quieter in the Arctic than in non-polar regions, but are similar to levels in Antarctica. The presence of solid sea ice for at least part of the year greatly decreases ambient sound levels, and sea ice also limits the accessibility of the Arctic to noisy anthropogenic activities. On the other hand, ice is itself the cause of increased ambient sound, especially during the period of time when ice is breaking up. Ambient sound levels in the Arctic are typically higher in the summer than in the winter, and also vary geographically, with levels in the Beaufort and Chukchi Seas being lower than levels in the Greenland Sea. Arctic ambient sound levels are driven mostly by natural physical processes (sea ice and wind), but are also influenced by marine mammals and anthropogenic activities during the summer (vessel traffic and seismic airguns). Multiple studies have documented noisy anthropogenic activities in the Arctic, and these levels are similar to those in non-Arctic regions. Anthropogenic activities are also increasing in the Arctic, so ambient sound levels may increase from increased anthropogenic noise. One activity that is unique to ice-covered waters and polar regions is ice breaking. Source levels for ice breaking are typically higher than the usual noise from vessel activity because ice breakers ram into ice and use other noisy equipment to break ice. Noisy anthropogenic activities in the Arctic are detected from farther away due to the lower ambient noise levels and unique sound propagation characteristics in the Arctic; therefore, anthropogenic activities have a wider geographic footprint in the Arctic, and may impact marine animals from farther away.

Arctic marine animals are likely impacted by noisy anthropogenic activities in the same ways as non-Arctic animals, with one exception: many Arctic animals are likely still not habituated to intense anthropogenic noises, and may therefore have a lower threshold for behavioural responses. Studies on Arctic marine mammals have mostly focused on behavioural impacts of anthropogenic noises (i.e. changes in diving, breathing cycles, and calling rates), and the majority of these studies were on bowhead whales. Only two studies were found on the impacts of noise on Arctic marine fishes, and no studies were found on the impacts of noise on Arctic marine invertebrates. Thresholds for hearing damage and injury for non-Arctic animals should likely apply well to Arctic animals. However, behavioural disturbance thresholds and acoustic masking are likely very species-specific due to differences in hearing thresholds and acclimation to anthropogenic noise, and may require additional studies on Arctic species of interest. An example is the narwhal, where an initial behavioural response to a novel acoustic stimulus could have lethal physiological consequences (Williams et al. 2017), which is possibly analogous to beaked whale reactions to mid-frequency military sonar (Tyack et al 2011).

7.2 *Is the Arctic a Special Case for Underwater Noise?*

The Arctic is a special case for underwater noise in several ways. First, ambient sound levels are relatively low due to the seasonal presence of solid sea ice and low levels of anthropogenic noise. Second, sound propagation characteristics are unique because of the Arctic sound channel, where sound becomes trapped near the surface of the water, and can propagate over much farther distances at this depth than in non-Arctic waters. Third, the species affected are not only unique but have been largely unexposed to anthropogenic noise

(at least to chronic shipping noise). Finally, the species affected are in the midst of massive ecological changes, and are consequently facing a variety of concurrent stressors (e.g., shifts in food, new competitors, new and increased pathogens). The impact of noise must be considered as a cumulative stressor, in addition to these other factors, and not in isolation (Moore et al. 2012; NAS 2017). Anthropogenic noises may therefore have a wider range of influence around them in the Arctic (i.e. noise may be heard from farther away).

7.3 Gaps in Knowledge and Next Steps for Research

Gaps in knowledge are summarized in Table 4. Several gaps exist in the geographic coverage of this review. This review does not include a single study from the East Siberian Sea, Laptev Sea, Kara Sea, and only a few studies from the Barents Sea, Baffin Bay, and the Canadian Arctic Archipelago. The majority of studies were in the Beaufort Sea, Greenland Sea (Fram Strait), and the Chukchi Sea. Given the higher volume of vessel traffic transiting through the Northern Sea Route, it can be assumed that ambient sound levels are generally higher in areas along that route compared to the Northwest Passage during the summer months. However, this cannot be confirmed without data. Studies on ambient sound levels should be conducted in all of these areas where studies have not been conducted yet. Studies should also be conducted over long-term in order to monitor changing ambient sound levels.

Noise impacts have been studied in very few species of Arctic marine animals: four species of marine mammal (bowhead whales, beluga whales, narwhal, and ringed seals) and two species of marine fish (Arctic cod and shorthorn sculpin). Eleven species of Arctic marine mammals have been identified, yet only four have been studied for noise impacts, and the majority of studies have focused on bowhead whales. 633 species of marine fish have been reported in the Arctic, as well as > 4000 species of marine benthic invertebrates and ~350 species of zooplankton (CAFF 2017). Yet the impact of noise has only been studied on two species of fish, and no noise impact studies have been conducted on Arctic marine invertebrates. More work is needed to understand how underwater noise impacts the diversity of marine animals in the Arctic, including studies on a larger number of species, especially for fish and invertebrates.

All studies of noise impacts have focused on behavioural responses, such as changes in movement patterns or vocalizations rates. Studies should also assess physiological impacts, physical damage such as TTS or PTS, population-level consequences, long-term consequences of noise exposures, the ability of species to acclimate or habituate to increased noise levels, as well as any cumulative effects with noise and other stressors.

Hearing sensitivity has only been measured in two Arctic marine species: beluga whales and ringed seals. Audiograms must be measured in more Arctic species in order to understand how their hearing and communication will be influenced by noise pollution. This is crucial for Arctic marine fishes, since we do not understand how these species perceive sound.

On the technical side, measurements of ambient sound levels and source level measurements should be standardized between studies, and greater collaboration among researchers should be encouraged to ensure consistent methodologies. Measurements of

sound pressure level have varied bandwidths between various study (see Table 3), which makes comparison between studies impossible.

Table 4. Knowledge gaps identified in this review.

Knowledge Gap	Description
Geographic Coverage	This review does not include a single study from the East Siberian Sea, Laptev Sea, Kara Sea, and only a few studies from the Barents Sea, Baffin Bay, and the Canadian Arctic Archipelago. This gap applies to measurements of ambient sound levels, measures of anthropogenic noise, and impacts on marine animals.
Standardization in measuring ambient sound levels	Many studies were not comparable due to the way that ambient sound levels were measured. New data on ambient sound level should also be reported in a wide frequency range of power spectral densities, and some standardized bandwidth of sound pressure levels should be used.
Measurements of source levels for anthropogenic activities	Source levels have only been measured for a handful of activities in the Arctic. More measurements must be made and on more activities, including underwater construction (pile driving, explosions), dredging, measurements of a greater variety of vessels, etc.
Standardization in measuring source level.	Measurements of source level should use the same bandwidth in order to be comparable. Bandwidth varied greatly between studies (see Table 3).
Impact of underwater noise on Arctic marine animals	No work on Arctic marine invertebrates, only two studies on Arctic marine fish, and studies on Arctic marine mammals all focused on behaviour for four species. Needs studies on a variety of species of marine invertebrates and fish, as well as studies on other species of marine mammals. No studies on physiology or hearing damage. More real-time studies are needed in the Arctic.
Chronic/cumulative effects of underwater noise on marine animals	No studies have documented the chronic/long-term impacts of underwater noise on any Arctic marine animals, and no studies have looked at the cumulative effects of underwater noise with other stressors. These gaps are also relevant outside of the Arctic.
Hearing sensitivities of Arctic marine animals	No information available on hearing sensitivities of Arctic marine fish or invertebrates, and for Arctic marine mammals, audiograms have only been measured for beluga whales and ringed seals.
Identify priority areas for monitoring	Information on locations with the most vessel traffic or greatest likelihood of future vessel traffic, as well as for oil and gas operations (both active drilling and exploration).

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